

(19) World Intellectual Property Organization  
International Bureau



INTERNATIONAL PATENT COOPERATION TREATY (PCT)

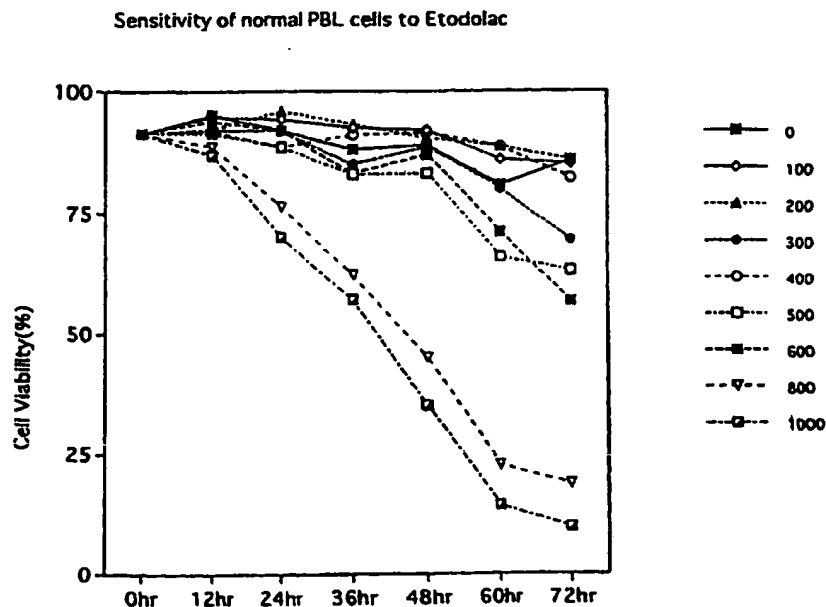
(43) International Publication Date  
14 February 2002 (14.02.2002)

PCT

(10) International Publication Number  
**WO 02/12188 A2**

- (51) International Patent Classification<sup>7</sup>: C07D 209/00 (74) Agent: VIKSNINS, Ann, S.; Schwegman, Lundberg, Woessner & Kluth, P.O. Box 2938, Minneapolis, MN 55402 (US).
- (21) International Application Number: PCT/US01/24978
- (22) International Filing Date: 9 August 2001 (09.08.2001)
- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data:  
09/634,207 9 August 2000 (09.08.2000) US
- (71) Applicant (for all designated States except US): THE REGENTS OF THE UNIVERSITY OF CALIFORNIA [US/US]; 12th Floor, 1111 Franklin Street, Oakland, CA 94607-5200 (US).
- (81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.
- (84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).
- (72) Inventors; and
- (75) Inventors/Applicants (for US only): CARSON, Dennis, A. [US/US]; 14824 Vista del Oceano, Del Mar, CA 92014 (US). LEONI, Lorenzo, M. [CH/US]; 3977 Camino Calma, San Diego, CA 92122 (US). COTTAM, Howard, B. [US/US]; 1890 Lendee Drive, Escondido, CA 92025 (US).
- Published:  
— without international search report and to be republished upon receipt of that report
- For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: INDOLE COMPOUNDS USEFUL FOR THE TREATMENT OF CANCER



(57) Abstract: The present invention provides novel indole derivatives useful to inhibit cancer or sensitize cancer cells to chemotherapeutic agents, radiation or other anti-cancer treatments.

WO 02/12188 A2

## INDOLE COMPOUNDS USEFUL FOR THE TREATMENT OF CANCER

### Cross-Reference to Related Applications

5        This application is a continuation-in-part of U.S. patent application Serial No. 09/360,020 filed July 23, 1999, and claims priority of U.S. provisional patent application Serial No. 60/189,976, filed March 16, 2000, both of which are incorporated by reference herein.

### 10        Background of the Invention

The invention was made with Government support under Grant No. 5ROI GM23200-24 awarded by the National Institute of Health. The Government has certain rights in the invention.

Prostate cancer is the second leading cause of cancer death among males  
15    in the United States. In 1998, an estimated 185,000 men were diagnosed with prostate cancer, and more than 39,000 men died of the disease. See, S. H. Landis et al., Cancer Statistics, CA Cancer J. Clin., 48, 6 (1998). Although survival rates are good for prostate cancer that is diagnosed early, the treatments for advanced disease are limited to hormone ablation techniques and palliative  
20    care. Hormone ablation techniques (orchiectomy and anti-androgen treatments) generally allow only temporary remission of the disease. It usually recurs within 1-3 years of treatment, with the recurrent tumors no longer requiring androgens for growth and survival. D. G. Tang et al., Prostate, 32, 284 (1997). Therapy  
25    with conventional chemotherapeutic agents, such as progesterone, estramustine and vinblastine, has also not been demonstrated to be effective to halt progression of the disease.

The number of nonsteroidal anti-inflammatory drugs (NSAIDs) has increased to the point where they warrant separate classification. In addition to aspirin, the NSAIDs available in the U.S. include meclofenamate sodium,  
30    oxyphenbutazone, phenylbutazone, indomethacin, piroxicam, sulindac and

tolmetin for the treatment of arthritis; mefenamic acid and zomepirac for analgesia; and ibuprofen, fenoprofen and naproxen for both analgesia and arthritis. Ibuprofen, mefenamic acid and naproxen are used also for the management of dysmenorrhea.

5       The clinical usefulness of NSAIDs is restricted by a number of adverse effects. Phenylbutazone has been implicated in hepatic necrosis and granulomatous hepatitis; and sulindac, indomethacin, ibuprofen and naproxen with hepatitis and cholestatic hepatitis. Transient increases in serum aminotransferases, especially alanine aminotransferase, have been reported. All  
10 of these drugs, including aspirin, inhibit cyclooxygenase, that in turn inhibits synthesis of prostaglandins, which help regulate glomerular filtration and renal sodium and water excretion. Thus, the NSAIDs can cause fluid retention and decrease sodium excretion, followed by hyperkalemia, oliguria and anuria. Moreover, all of these drugs can cause peptic ulceration. See, Remington's  
15 Pharmaceutical Sciences, Mack Pub. Co., Easton, PA (18th ed., 1990) at pages 1115-1122.

There is a large amount of literature on the effect of NSAIDs on cancer, particularly colon cancer. For example, see H. A. Weiss et al., Scand J. Gastroent., 31, 137 (1996) (suppl. 220) and Shiff et al., Exp. Cell Res., 222, 179  
20 (1996). More recently, B. Bellosillo et al., Blood, 92, 1406 (1998) reported that aspirin and salicylate reduced the viability of B-cell CLL cells *in vitro*, but that indomethacin, ketorolac and NS-398, did not.

C. P. Duffy et al., Eur. J. Cancer, 34, 1250 (1998), reported that the cytotoxicity of certain chemotherapeutic drugs was enhanced when they were  
25 combined with certain non-steroidal anti-inflammatory agents. The effects observed against human lung cancer cells and human leukemia cells were highly specific and not predictable; i.e., some combinations of NSAID and agent were effective and some were not. The only conclusion drawn was that the effect was not due to the cyclooxygenase inhibitory activity of the NSAID.

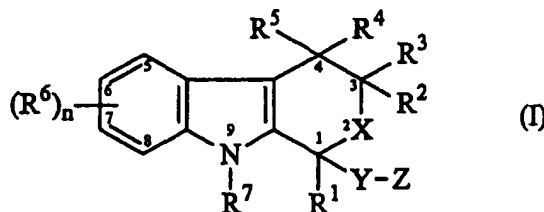
30       The Duffy group filed a PCT application (WO98/18490) on October 24, 1997, directed to a combination of a "substrate for MRP", which can be an anti-

cancer drug, and a NSAID that increases the potency of the anti-cancer drug. NSAIDs recited by the claims are acemetacin, indomethacin, sulindac, sulindac sulfide, sulindac sulfone, tolmetin and zomepirac. Naproxen and piroxicam were reported to be inactive.

- 5 Recently, W. J. Wechter et al., Cancer Res., 60, 2203 (2000) reported that the NSAID, R-flurbiprofen, inhibited progression of prostate cancer in the TRAMP mouse, a prostate cancer model. The Wechter group filed a PCT application (WO98/09603) on September 8, 1997, disclosing that prostate cancer can be treated with R-NSAIDs, including R(-)-etodolac and R-flurbiprofen. In contrast to R(-)-etodolac, the R-enantiomer of flurbiprofen and other (R)-2-aryl propionate NSAIDs are converted in the body to the anti-inflammatory S-enantiomers, and hence are pro-drugs of the NSAIDs, while R(-) etodolac is not per se an NSAID. Therefore, a continuing need exists for effective methods to employ these preliminary findings to develop new compounds to treat neoplastic disease, including prostate cancer and other cancers.

### Summary of the Invention

The present invention provides indole compounds of formula (I):



- wherein R<sup>1</sup> is lower alkyl, lower alkenyl, (hydroxy)lower alkyl, lower alkynyl, phenyl, benzyl or 2-thienyl, R<sup>2</sup>, R<sup>3</sup>, R<sup>4</sup> and R<sup>5</sup> are the same or different and are each hydrogen or lower alkyl; each R<sup>6</sup> is individually hydrogen, lower alkyl, hydroxy, (hydroxy)lower alkyl, lower alkoxy, benzyloxy, lower alkanoyloxy, nitro or halo, n is 1-3, R<sup>7</sup> is hydrogen, lower alkyl or lower alkenyl, X is oxy and thio, Y is carbonyl, (CH<sub>2</sub>)<sub>1,3</sub>, (CH<sub>2</sub>)<sub>1,3</sub>C(O), or (CH<sub>2</sub>)<sub>1,3</sub>SO<sub>2</sub> and Z is (ω-(4-pyridyl)(C<sub>2</sub>-C<sub>4</sub> alkoxy), (ω-(R<sup>8</sup>)(R<sup>9</sup>) amino)(C<sub>2</sub>-C<sub>4</sub> alkoxy), wherein R<sup>8</sup> and R<sup>9</sup> are each H, (C<sub>1</sub>-C<sub>3</sub>)alkyl or together with N are a 5- or 6-membered heterocyclic

ring comprising 1-3 N(R<sup>8</sup>), S or nonperoxide O; an amino acid ester of ( $\omega$ -(HO)(C<sub>2</sub>-C<sub>4</sub>))alkoxy, N(R<sup>8</sup>)CH(R<sup>8</sup>)CO<sub>2</sub>H, 1'-D-glucuronyloxy; Y-Z is (CH<sub>2</sub>)<sub>1-3</sub>R<sup>8</sup> wherein R<sup>8</sup> is OH, (C<sub>2</sub>-C<sub>4</sub>)acyloxy, SO<sub>3</sub>H, PO<sub>4</sub>H<sub>2</sub>, N(NO)(OH), SO<sub>2</sub>NH<sub>2</sub>, PO(OH)NH<sub>2</sub>, or tetrazolyl; or a pharmaceutically acceptable salt thereof.

5       The present invention also provides a therapeutic method to inhibit the growth of cancer cells and/or to sensitize cancer cells to inhibition by a chemotherapeutic agent. The method comprises contacting cancer cells with an effective amount of the compound of formula (I), preferably in combination with a pharmaceutically acceptable carrier. The present compounds can be used to  
10       treat a mammal afflicted with cancer, such as a human cancer patient, and are preferably administered in conjunction with a chemotherapeutic agent, such as an alkylating agent or an anti-androgen, radiation and/or other anti-cancer therapy.

      The present compounds are effective against hematopoietic cancers, such  
15       as leukemias and cancers of the bone marrow, including chronic lymphocytic leukemia (CLL) and multiple myeloma (MM). The present compounds were unexpectedly found to be effective against cancer cells that express high levels of the nuclear hormone receptor, peroxisome proliferator activated receptor- $\gamma$ , (PPAR- $\gamma$ ), and/or high levels of the anti-apoptotic proteins, Mcl-1 and/or Bag-1.  
20       Such cancer cells include at least some types of prostate cancer cells.

      Activated PPAR- $\gamma$  binds co-activator protein (CBP), a co-activator of the androgen receptor known to be overexpressed in hormone-resistant prostate cancer. Thus, compounds of formula (I) that activate PPAR- $\gamma$  production can reduce the level of expression of the androgen receptor known to be over-  
25       expressed in hormone-resistant prostate cancer. Therefore, the present compounds can enhance the efficacy of conventional anti-androgen therapy, and can act to inhibit the spread of prostate cancer.

      The present invention is based on the discovery by the inventors that racemic etodolac inhibits the viability of purified CLL or MM cells at  
30       concentrations that do not inhibit the viability of normal peripheral blood lymphocytes (PBLs). It was then unexpectedly found that the R(-) enantiomer

of etodolac is as toxic to CLL cells as is the S(+) enantiomer. It was then found that etodolac synergistically interacted with fludarabine and 2-chloroadenosine to kill CLL cells at concentration at which the chemotherapeutic agents alone were inactive. Finally, it was found that both R(-)- and S(+)- etodolac inhibited  
5 a number of prostate cancer cell lines. Again the R(-) enantiomer was at least as effective as the S(+)- "anti-inflammatory" enantiomer. This was unexpected since the R(-) enantiomer of etodolac does not possess significant anti-inflammatory activity and is not converted to the S(+) enantiomer to a significant extent *in vivo*. As noted above, the R-enantiomers of other R-2-arylpropionate  
10 NSAIDs are converted to the "active" anti-inflammatory S-enantiomers *in vivo*, and so function as pro-drugs for the NSAID.

The extent of inhibition was markedly related to the level of expression of PPAR- $\gamma$  by the cell line. Cell lines with an elevated level of PPAR- $\gamma$  expression were inhibited much more effectively than cell lines expressing  
15 relatively low levels of PPAR- $\gamma$ , as disclosed in the working examples.

A compound of formula (I) is preferred for practice of the present therapeutic method that does not exhibit undesirable bioactivities due to inhibition of cyclooxygenase (COX) that are exhibited by some NSAIDs. However, the preferred compounds of formula (I) would not be considered  
20 NSAIDs by the art, as they would not exhibit significant anti-inflammatory activity.

Thus, the present invention also provides a method for determining whether or not a particular cancer patient, such as a prostate cancer patient, is amenable to treatment by a compound of formula (I), comprising isolating  
25 cancer cells and evaluating *in vitro* the relative level of PPAR- $\gamma$  and/or Mcl-1 and/or Bag-1 relative to the level in a cancer cell line, such as prostate cancer cell line, known to be susceptible to treatment by a compound of formula (I).

The present invention also provides a method to determine the ability of a test agent to inhibit cancer cells, such as prostate cancer cells, comprising  
30 contacting a population of cancer cells, as from a prostate cancer cell line, with said agent and determining whether the agent increases expression of PPAR- $\gamma$ ,

or decreases the expression of Mcl-1 and/or Bag-1 (or does both). The present invention also provides a general multilevel screening method to evaluate etodolac analogs, other NSAIDs or other agents for their ability to inhibit cancer, preferably etodolac-sensitive cancers, such as prostate cancer, CLL and MM.

- 5 Agents that exhibit a positive activity, preferably at least equal to that of R(-)-etodolac, or do not exhibit a negative activity, e.g., are no more active than R(-)-etodolac, are passed to the next screen.

- Test agents are first evaluated for their ability to competitively inhibit the binding of etodolac, e.g., radiolabeled R(-) etodolac to its receptor(s) on  
10 etodolac-sensitive cancer cells such as CLL cells. Agents that can compete effectively with R(-) etodolac for etodolac binding site(s) on the cells are then evaluated in an assay to determine if they can increase  $\text{Ca}^{+2}$  uptake in cancer cells, such as CLL cells, preferably as effectively as R(-) etodolac. Agents that can induce intracellular  $\text{Ca}^{+2}$  uptake are screened to determine if they can induce  
15 chemokinetic activity (chemokinesis or chemotaxis) in a population of lymphocytes, such as B-CLL lymphocytes, preferably as effectively as R(-) etodolac. Agents that are positive in this screen are then evaluated to determine if they can induce apoptosis or pro-apoptotic factors, such as increased caspase activity in cancer cells, such as CLL cells and other cancer cells known to be  
20 etodolac sensitive, at least as effectively as R(-) etodolac.

Agents that test positive in this screen are evaluated for their ability to deplete lymphocytes in mice, and those that are no more active than R(-) etodolac are then evaluated in animal models of cancer to see if they can inhibit the induction of, or spread of cancer.

- 25 As used herein with respect to cancer or cancer cells, the term "inhibition" or "inhibit" includes both the reduction in cellular proliferation, blockage of cellular proliferation, or killing some or all of said cells. Thus, the term can be used in both the context of a prophylactic treatment to prevent development of cancer or as a treatment that will block, or slow the spread of  
30 established cancer. Whether or not the level of expression of a marker of susceptibility to etodolac treatment is sufficiently elevated to continue treatment

with etodolac or an analog thereof is determined by comparison between the relative levels of expression of said marker in resistant and susceptible cancer cell lines, as disclosed hereinbelow.

5                                    **Brief Description of the Figures**

Figure 1 is a graph depicting the sensitivity of normal peripheral blood lymphocytes (PBL) to racemic etodolac.

Figure 2 is a graph depicting the sensitivity of CLL cells to racemic etodolac.

10            Figure 3 is a graph depicting the synergistic effect of a combination of racemic etodolac and fludarabine against CLL cells.

Figure 4 is a graph depicting the synergistic effect of a combination of 50  $\mu$ M etodolac with 10  $\mu$ M 2CdA or 10 mM Fludara against CLL cells.

15            Figure 5 is a graph depicting the sensitivity of CLL cells to S- and R-etodolac.

Figures 6 and 7 depict the viability of CLL cells from two patients before and after etodolac administration.

Figure 8 depicts a flow cytometric analysis of CLL cells before and after etodolac treatment.

20            Figures 9 and 10 depict the selective action of R(-)-etodolac against MM cells from two patients.

Figure 11 is a photocopy of a SDS-PAGE gels demonstrating that etodolac induces a rapid downregulation in Mcl-1 (Panel A) and Bag-1 (Panel B), that is blocked by MG-132.

25            Figure 12 is a photocopy of an SDS-PAGE gel depicting expression of PPAR- $\gamma$  by seven cancer cell lines.

Figure 13 is a graph depicting induction of PPAR- $\gamma$  expression by etodolac and indomethacin.

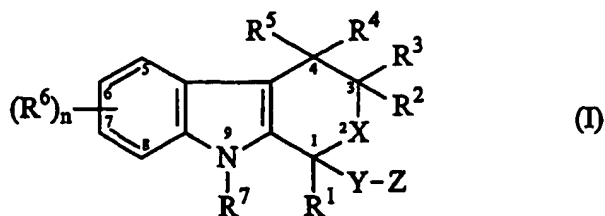
30            Figure 14 is a graph depicting expression of CD36 induced by etodolac and TGZ, in the presence and absence of TPA in human monocytes.



Figure 15 is a photocopy of sections of prostate cancer tissue, untreated (A) or treated (B, C, D) with etodolac.

### Detailed Description of the Invention

Indole compounds of the present inventions include compounds of formula (I):



wherein R<sup>1</sup> is selected from the group consisting of lower alkyl, lower alkenyl, (hydroxy)lower alkyl, lower alkynyl, phenyl, benzyl and 2-thienyl, R<sup>2</sup>, R<sup>3</sup>, R<sup>4</sup> and R<sup>5</sup> are the same or different and are each selected from the group consisting of hydrogen and lower alkyl, each R<sup>6</sup> is individually selected from the group consisting of hydrogen, lower alkyl, hydroxy, (hydroxy)lower alkyl, lower alkoxy, benzyloxy, lower alkanoyloxy, nitro and halo, n is 1-3, R<sup>7</sup> is selected from the group consisting of hydrogen, lower alkyl and lower alkenyl, X is selected from the group consisting of oxy and thio, Y is selected from the group consisting of carbonyl (CH<sub>2</sub>)<sub>1-3</sub>, (CH<sub>2</sub>)<sub>1-3</sub>SO<sub>2</sub> or (CH<sub>2</sub>)<sub>1-3</sub>C(O), and Z is selected from the group consisting of hydroxy, lower alkoxy optionally substituted with OH, 4-pyridyl, amino, lower alkylamino, di(lower alkyl)amino, or N-morpholino; amino, lower alkylamino, [(carboxy)(lower alkyl)]amino, di(lower)alkylamino and phenylamino, or Y-Z is (CH<sub>2</sub>)<sub>1-3</sub>R<sup>8</sup> wherein R<sup>8</sup> is OH, (C<sub>2</sub>-C<sub>4</sub>)acyloxy, SO<sub>3</sub>H, PO<sub>4</sub>H<sub>2</sub>, N(NO)(OH), SO<sub>2</sub>NH<sub>2</sub>, PO(OH)NH<sub>2</sub>, or tetrazolyl or a pharmaceutically acceptable salt thereof. Lower alkyl, alkenyl, alkanoyl, etc. indicates a branched, cyclic or straight chain C<sub>1</sub>-C<sub>6</sub> group, preferably a C<sub>1</sub>-C<sub>4</sub> group, including cycloalkyl and (cycloalkyl)alkyl. (Hydroxy)lower alkyl or alkoxy is preferably 1- or 2- hydroxyethyl.

As discussed above, the relatively low water solubility of the R(-) enantiomer of etodolac can reduce its usefulness against cancer when

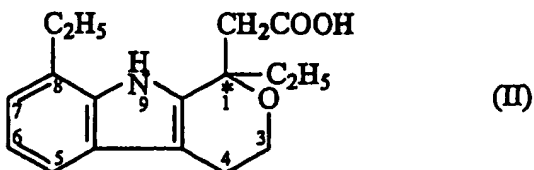
administered orally, or in an aqueous vehicle. Therefore, the present invention also provides novel indole compounds that exhibit enhanced water solubility and/or bioavailability over the free acid or the simple alkyl esters of etodolac. Such analogs include (pyridinyl) lower alkyl esters, (amino)lower alkyl esters, 5 (hydroxy)lower alkyl esters and 1'-D-glucuronate esters of etodolac, e.g., compounds of formula (II) wherein (a) Y is carbonyl and (b) Z is ( $\omega$ -(4-pyridyl)(C<sub>2</sub>-C<sub>4</sub> alkoxy), ( $\omega$ -((R<sup>8</sup>)(R<sup>9</sup>) amino)(C<sub>2</sub>-C<sub>4</sub> alkoxy), wherein R<sup>8</sup> and R<sup>9</sup> are each H, (C<sub>1</sub>-C<sub>3</sub>)alkyl or together with N are a 5- or 6-membered heterocyclic ring comprising 1-3 N(R<sup>8</sup>), S or nonperoxide O; an amino acid ester of ( $\omega$ - 10 (HO)(C<sub>2</sub>-C<sub>4</sub>)alkoxy, e.g., the L-valine or L-glycine ester of 2-hydroxyethoxy, 1'-D-glucuronyloxy; and the pharmaceutically acceptable salts thereof, e.g., with organic or inorganic acids. Other analogs of increased water solubility include amino acid amides, where Y is carbonyl and Z is N(R<sup>8</sup>)CH(R<sup>8</sup>)CO<sub>2</sub>H, and the pharmaceutically acceptable salts thereof.

15 Such compounds can be prepared as disclosed in U.S. Pat. No. 3,843,681, U.S. Pat. Application Serial No. 09/313,048, Ger. Pat. No. 2,226,340 (Amer. Home Products), R. R. Martel et al., Can. J. Pharmacol., 54, 245 (1976); Demerson et al., J. Med. Chem., 19, 391 (1976); PCT application Serial No. US/00/13410 and Rubin (U.S. Pat. No. 4,337,760).

20 The resolution of racemic compounds of formula (I) can be accomplished using conventional means, such as the formation of a diastereomeric salt with an optically active resolving amine; see, for example, "Stereochemistry of Carbon Compounds," by E. L. Eliel (McGraw Hill, 1962); C. H. Lochmuller et al., J. Chromatog., 113, 283 (1975); "Enantiomers, Racemates and Resolutions," by J. 25 Jacques, A. Collet, and S. H. Wilen, (Wiley-Interscience, New York, 1981); and S. H. Wilen, A. Collet, and J. Jacques, Tetrahedron, 33, 2725 (1977). For example, the racemate has been resolved by fractional crystallization of RS-etodolac using optically active 1-phenylethylamine and HPLC has been used to determine racemic etodolac and enantiomeric ratios of etodolac and two 30 hydroxylated metabolites in urine (U. Becker-Scharfenkamp et al., J. Chromatog., 621, 199 (1993)). B. M. Adger et al. (U.S. Patent No. 5,811,558),

disclosed the resolution of etodolac using glutamine and N(C<sub>1</sub>-C<sub>4</sub> alkyl)-glutamine salts.

Etodolac itself (1,8-diethyl-1,3,4,9-tetrahydro[3,4-6]indole-1-acetic acid) is a NSAID of the pyranocarboxylic acid class, that was developed in the early  
 5 1970s. Its structure is depicted as formula (II), below, wherein (\*) denotes the chiral center. See also, The Merck Index, (11th ed.), at page 608.



The pharmacokinetics of etodolac have been extensively reviewed by D. R. Brocks et al., Clin. Pharmacokinet., **26**, 259 (1994). Etodolac is marketed as  
 10 the racemate. The absolute configurations of the enantiomers were found to be S-(+) and R(-), which is similar to that for most other NSAIDs. However, Demerson et al., J. Med. Chem., **26**, 1778 (1983) found that the S-(+)-enantiomer of etodolac possessed almost all of the anti-inflammatory activity of the racemate, as measured by reduction in paw volume of rats with adjuvant  
 15 polyarthritis, and prostaglandin synthetase inhibitory activity of the drug. No anti-inflammatory activity was discernible with the (-)-enantiomer, and it is not converted significantly to the S(+) enantiomer *in vivo*. Hence, R(-) etodolac is not a NSAID. However, as disclosed below, R(-) etodolac paradoxically was found to have potent activity against cancer cells that is at least equivalent to that  
 20 of the S(+) enantiomer.

Etodolac possesses several unique disposition features due to their stereoselective pharmacokinetics. In plasma, after the administration of RS-etodolac, the concentrations of the "inactive" R-enantiomer of etodolac are about 10-fold higher than those of the active S-enantiomer, an observation that is novel  
 25 among the chiral NSAIDs. See, D. R. Brocks et al., Clin. Pharmacokinet., **26**, 259 (1994). After a 200 mg dose in six elderly patients, the maximum plasma concentration of the R-enantiomer was about 33  $\mu$ M. In contrast, the maximum

concentration of the S-enantiomer was 5-fold lower. The typical dosage of the racemic mixture of etodolac is 400 mg BID, and the drug has an elimination half-life between 6-8 hours. Moreover, it is likely that the administration of the purified R-enantiomer will not display the side effects associated with  
5 cyclooxygenase (COX) inhibitors, such as ulcers and renal insufficiency, and thus can be given at considerably higher dosages. Nonetheless, the relatively low solubility of R(-)-etodolac in water can impede attaining plasma levels in humans that can inhibit cancer cells, particularly prostate cancer cells. However, the compounds of formula (I) can be dissolved in water and other aqueous  
10 carriers at substantially higher concentrations than R(-) etodolac.

The compounds of formula (I) can also be prepared in the form of their pharmaceutically acceptable salts or their non-pharmaceutically acceptable salts. The non-pharmaceutically acceptable salts are useful as intermediates for the preparation of pharmaceutically acceptable salts. Pharmaceutically acceptable  
15 salts are salts that retain the desired biological activity of the parent compound and do not impart undesired toxicological effects. Examples of such salts are (a) acid addition salts formed with inorganic acids, for example hydrochloric acid, hydrobromic acid, sulfuric acid, phosphoric acid, nitric acid and the like; and salts formed with organic acids such as, for example, acetic acid, oxalic acid,  
20 tartaric acid, succinic acid, maleic acid, fumaric acid, gluconic acid, citric acid, malic acid, ascorbic acid, benzoic acid, tannic acid, palmitic acid, alginic acid, polyglutamic acid, naphthalenesulfonic acid, methanesulfonic acid, p-toluenesulfonic acid, naphthalenedisulfonic acid, polygalacturonic acid, and the like; and (b) salts formed from elemental anions such as chlorine, bromine, and  
25 iodine. Preferred carboxylic acid salts are those of hydrophilic amines, such as glucamine or N-(C<sub>1</sub>-C<sub>4</sub>)alkylglucamine (see, Adger et al. (U.S. Pat. No. 5,811,558)).

The magnitude of a prophylactic or therapeutic dose of a compound or compounds of formula (I) in the acute or chronic management of cancer, i.e.,  
30 prostate cancer, will vary with the type and/or stage of the cancer, the adjunct chemotherapeutic agent(s) or other anti-cancer therapy used, and the route of

administration. The dose, and perhaps the dose frequency, will also vary according to the age, body weight, condition, and response of the individual patient. In general, the total daily dose range for a compound or compounds of formula (I), for the conditions described herein, is from about 50 mg to about 5000 mg, in single or divided doses. Preferably, a daily dose range should be about 100 mg to about 4000 mg, most preferably about 1000-3000 mg, in single or divided doses, e.g., 750 mg every 6 hr of orally administered compound. This can achieve plasma levels of about 500-750  $\mu$ M, which can be effective to kill cancer cells. In managing the patient, the therapy should be initiated at a lower dose and increased depending on the patient's global response. It is further recommended that infants, children, patients over 65 years, and those with impaired renal or hepatic function initially receive lower doses, particularly of analogs which retain COX inhibitory activity, and that they be titrated based on global response and blood level. It may be necessary to use dosages outside these ranges in some cases. Further, it is noted that the clinician or treating physician will know how and when to interrupt, adjust or terminate therapy in conjunction with individual patient response. The terms "an effective inhibitory or amount" or "an effective sensitizing amount" are encompassed by the above-described dosage amounts and dose frequency schedule.

Any suitable route of administration may be employed for providing the patient with an effective dosage of a compound of formula (I). For example, oral, rectal, parenteral (subcutaneous, intravenous, intramuscular), intrathecal, transdermal, and like forms of administration may be employed. Dosage forms include tablets, troches, dispersions, suspensions, solutions, capsules, patches, and the like. The compound may be administered prior to, concurrently with, or after administration of chemotherapy, or continuously, i.e., in daily doses, during all or part of, a chemotherapy regimen. The compound, in some cases, may be combined with the same carrier or vehicle used to deliver the anti-cancer chemotherapeutic agent.

Thus, the present compounds may be systemically administered, e.g., orally, in combination with a pharmaceutically acceptable vehicle such as an

inert diluent or an assimilable edible carrier. They may be enclosed in hard or soft shell gelatin capsules, may be compressed into tablets, or may be incorporated directly with the food of the patient's diet. For oral therapeutic administration, the active compound may be combined with one or more  
5 excipients and used in the form of ingestible tablets, buccal tablets, troches, capsules, elixirs, suspensions, syrups, wafers, and the like. Such compositions and preparations should contain at least 0.1% of active compound. The percentage of the compositions and preparations may, of course, be varied and may conveniently be between about 2 to about 60% of the weight of a given unit  
10 dosage form. The amount of active compound in such therapeutically useful compositions is such that an effective dosage level will be obtained.

The tablets, troches, pills, capsules, and the like may also contain the following: binders such as gum tragacanth, acacia, corn starch or gelatin; excipients such as dicalcium phosphate; a disintegrated agent such as corn  
15 starch, potato starch, alginic acid and the like; a lubricant such as magnesium stearate; and a sweetening agent such as sucrose, fructose, lactose or aspartame or a flavoring agent such as peppermint, oil of wintergreen, or cherry flavoring may be added. When the unit dosage form is a capsule, it may contain, in addition to materials of the above type, a liquid carrier, such as a vegetable oil or  
20 a polyethylene glycol. Various other materials may be present as coatings or to otherwise modify the physical form of the solid unit dosage form. For instance, tablets, pills, or capsules may be coated with gelatin, wax, shellac or sugar and the like. Tablets, capsules, pills, granules, microparticles and the like can also comprise an enteric coating, such as a coating of one of the Eudragit® polymers,  
25 that will permit release of the active compound(s) in the intestines, not in the acidic environment of the stomach. This can be advantageous in the case of elderly or frail cancer patients treated with any compound that retains a significant COX-inhibitory activity, and concomitant ulceration.

A syrup or elixir may contain the active compound, sucrose or fructose as  
30 a sweetening agent, methyl and propylparabens as preservatives, a dye and flavoring such as cherry or orange flavor. Of course, any material used in

preparing any unit dosage form should be pharmaceutically acceptable and substantially non-toxic in the amounts employed. In addition, the active compound may be incorporated into sustained-release preparations and devices.

The active compound may also be administered intravenously or  
5 intraperitoneally by infusion or injection. Solutions of the active compound or its salts can be prepared in water, optionally mixed with a non-toxic surfactant. Dispersions can also be prepared in glycerol, liquid polyethylene glycols, triacetin, and mixtures thereof and in oils. Under ordinary conditions of storage and use, these preparations contain a preservative to prevent the growth of  
10 microorganisms.

The pharmaceutical dosage forms suitable for injection or infusion can include sterile aqueous solutions or dispersions or sterile powders comprising the active ingredient which are adapted for the extemporaneous preparation of sterile injectable or infusible solutions or dispersions, optionally encapsulated in  
15 liposomes. In all cases, the ultimate dosage form must be sterile, fluid and stable under the conditions of manufacture and storage. The liquid carrier or vehicle can be a solvent or liquid dispersion medium comprising, for example, water, ethanol, a polyol (for example, glycerol, propylene glycol, liquid polyethylene glycols, and the like), vegetable oils, non-toxic glyceryl esters, and suitable  
20 mixtures thereof. The proper fluidity can be maintained, for example, by the formation of liposomes, by the maintenance of the required particle size in the case of dispersions or by the use of surfactants. The prevention of the action of microorganisms can be brought about by various antibacterial and antifungal agents, for example, parabens, chlorobutanol, phenol, sorbic acid, thimerosal,  
25 and the like. In many cases, it will be preferable to include isotonic agents, for example, sugars, buffers or sodium chloride. Prolonged absorption of the injectable compositions can be brought about by the use in the compositions of agents delaying absorption, for example, aluminum monostearate and gelatin.

Sterile injectable solutions are prepared by incorporating the active  
30 compound in the required amount in the appropriate solvent with various of the other ingredients enumerated above, as required, followed by filter sterilization.

In the case of sterile powders for the preparation of sterile injectable solutions, the preferred methods of preparation are vacuum drying and the freeze drying techniques, which yield a powder of the active ingredient plus any additional desired ingredient present in the previously sterile-filtered solutions.

- 5            Useful dosages of the compounds of formula I can be determined by comparing their *in vitro* activity, and *in vivo* activity in animal models. Methods for the extrapolation of effective dosages in mice, and other animals, to humans are known to the art; for example, see U.S. Patent No. 4,938,949.

- Due to the ability of compounds of formula (I) that elevate PPAR- $\gamma$   
10    levels, to lower the expression of the androgen receptor known to be overexpressed in hormone-refractory prostate cancer, compounds that upregulate PPAR- $\gamma$  are advantageously used in combination with steroidal and non-steroidal anti-androgens used in the treatment of prostate cancer. These compounds include leuprolide or goserelin acetate, bicalutamide and flutamide,  
15    nilutamide, cycloproterone acetate, among others.

- Due to the ability of compounds of formula (I) that reduce PPAR- $\gamma$  levels to sensitize prostate cancer cells to killing by conventional chemotherapeutic agents, such compounds can be employed with  
20    chemotherapeutic agents used to treat cancers such as prostate cancer, including estramustine, vinblastine, mitoxanthrone, prednisone and the like, or melphalan to treat MM. Other chemotherapeutic agents, irradiation or other anti-cancer agents such as anti-tumor antibodies, or cytokines can be used with the present compounds. See, e.g., Remington's Pharmaceutical Sciences (18th ed. 1990) at pages 1138-1162.

- 25            The invention will be further described by reference to the following detailed examples.

**Example 1. Sensitivity of Normal Peripheral Blood Lymphocytes  
and CLL Cells to Etodolac**

- 30            Mononuclear cells were isolated from the peripheral blood of B-CLL patients and normal donors using density gradient centrifugation (Ficoll-Paque).



Cells were cultured at  $2 \times 10^6$  cells per mL in RPMI with 20% autologous plasma in 96-well plates with or without the indicated  $\mu\text{M}$  concentrations of etodolac (racemic, S-etodolac, R-etodolac) and in combination with 2-chloro-2'-deoxyadenosine (2CdA) or fludarabine. At indicated times (12, 24, 36, 48, 60, 72 hours), viability assays were performed using the erythrocin B exclusion assay, as described by D. Carson et al., PNAS USA, 89, 2970 (1992).

As shown in Figure 1, significant death of normal PBLs occurred only at 800  $\mu\text{M}$  racemic etodolac, a concentration which cannot be obtained *in vivo*.

Peripheral blood lymphocytes from a normal donor were cultured with 1.0 mM etodolac for 24 hours. Then B lymphocytes were identified by staining with anti-CD19 antibody, and viability was assessed by DiOC<sub>6</sub> fluorescence. Etodolac under these conditions did not reduce the viability of the normal B cells, compared to control cultures. When the same viability assay was run with purified CLL cells from the peripheral blood of a CLL patient, the results were different. As shown in Figure 2, 50% of the CLL cells were killed by a 48 hour exposure to 200  $\mu\text{M}$  racemic etodolac. More than 95% of the treated cells were malignant B lymphocytes.

#### Example 2. Synergistic Combinations of Etodolac and Chemotherapeutic Agents

Fludarabine is a nucleoside analog commonly used for the treatment of CLL. In this experiment the *in vitro* survival of CLL cells at the indicated time points was compared in cultures containing medium alone ("Con", squares), fludarabine 10 nM (diamonds), etodolac 10  $\mu\text{M}$  (closed circles), and fludarabine 10 nM plus etodolac 10  $\mu\text{M}$  (open circles). The two drugs together exhibited a synergistic cytotoxic effect. Figure 3 shows that the combination killed 50% of CLL cells during 48 hours of culture, while either drug alone was ineffective. Figure 4 demonstrates synergy between 50  $\mu\text{M}$  etodolac and 10 nM 2-chlorodeoxyadenosine and fludarabine, under the same test conditions.

**Example 3. Effect of R(-) and S(+) Etodolac Against CLL Cells**

Etodolac tablets were ground in a mortar and extracted from the formulation using ethyl acetate. The resulting racemic mixture of enantiomers was separated into R and S isomers on a preparative scale by fractional  
5 crystallization by the procedure of Becker-Scharfenkamp and Blaschke, J. Chromatog., **621**, 199 (1993). Thus, the racemic mixture solid was dissolved in absolute 2-propanol and S-1-phenylethylamine was added to the solution. The resulting salt solution was stored in the refrigerator for 4 days. The crystalline white salt product was filtered and washed with cold 2-propanol and  
10 recrystallized two more times from 2-propanol. The same procedure was repeated for the R isomer only using R-1-phenylethylamine as the resolving agent. Finally, the R and S salts were decomposed using 10% sulfuric acid (v/v) and extracted with ethyl acetate. The chiral purity of each isomer was verified by HPLC using a Chiral-AGP column from ChromTech.

15 The toxicities of the two enantiomers to CLL cells cultured in RPMI 1640 medium with 10% autologous plasma were compared at the indicated concentrations and time points, as shown in Figure 5. The R- and S-enantiomers are equivalently cytotoxic to the CLL cells.

20 **Example 4. Viability of CLL Cells Before and After Etodolac Treatment**

Heparinized blood was taken from two patients (JK and NA) with CLL. Then each patient immediately took a 400 mg etodolac tablet, and a second tablet 12 hours later. After another 12 hours, a second blood specimen was obtained. The CLL cells were isolated and their survival *in vitro* were compared  
25 in RPMI 1640 medium containing 10% autologous plasma, as described in Example 1. The circles show CLL cells before etodolac treatment. In Figures 6-7, the upward pointing triangles represent CLL cell viability after etodolac treatment, wherein the cells are dispersed in medium containing the pretreatment plasma. The downward pointing triangles are CLL cells after treatment  
30 maintained in medium with the post-treatment plasma.

Figure 6 shows the different survivals of the two cell populations from patient JK. Note that the cells after treatment had a shortened survival compared to the cells before treatment. Figure 7 shows a less dramatic but similar effect with patient NA. Figure 8 is a flow cytometric analysis of CLL cells from patient JK before and after etodolac treatment. DiOC<sub>6</sub> is a dye that is captured by mitochondria. When cells die by apoptosis, the intensity of staining decreases. The X axis on the four panels in Figure 8 shows the DiOC<sub>6</sub> staining. An increased number of dots in the left lower box indicates cell death by apoptosis. If one compares the cells taken from the patient before etodolac treatment, and after etodolac treatment, one can see that the number of dots in the left lower box is much higher after the drug. This effect is detectable at 12 hours, and increases further after 24 hours.

To conduct the flow cytometric analysis, the mitochondrial transmembrane potential was analyzed by 3,3' dihexyloxacarboncyanide iodide (DiOC<sub>6</sub>), cell membrane permeability by propidium iodide (PI)<sup>3</sup> and mitochondrial respiration by dihydrorhodamine 123 (DHR) (See J. A. Royall et al., Arch. Biochem. Biophys., 302, 348 (1993)). After CLL cells were cultured for 12 or 24 hours with the indicated amount of etodolac, the cells were incubated for 10 minutes at 37°C in culture medium containing 40 nM of DiOC<sub>6</sub> and 5 µg/ml PI. Cells were also cultured for 3 hours with the indicated amount of etodolac, spun down at 200 × g for 10 minutes and resuspended in fresh respiration buffer (250 mM sucrose, 1 g/L bovine serum albumin, 10 mM MgCl<sub>2</sub>, 10 mM K/Hepes, 5 mM KH<sub>2</sub>PO<sub>4</sub> (pH 7.4)) and cultured for 10 minutes at 37° C with 0.04% digitonin. Then cells were loaded for 5 minutes with 0.1 µM dihydrorhodamine (DHR). Cells were analyzed within 30 minutes in a Becton Dickinson FAC-Scalibur cytofluorometer. After suitable comprehension, fluorescence was recorded at different wavelength: DiOC<sub>6</sub> and DHR at 525 nm (FL-1) and PI at 600 nm (FL-3).

As a general matter a reduction of 10% in the survival of the post-treatment malignant cells, compared to the pretreatment malignant cells, at 16

hours after culture *in vitro* is considered a "positive" in this test, and indicates the use of etodolac, i.e., R(-) etodolac in CLL or other cancer therapy.

**Example 5. Ability of R(-)-Etodolac to Selectively Kill MM Cells**

5        Bone marrow was obtained from two patients with multiple myeloma. The marrow contained a mixture of malignant cells, as enumerated by high level expression of the CD38 membrane antigen, and normal cells. The suspended marrow cells were incubated for 72 hours in RPMI 1640 medium with 10% fetal bovine serum, and various concentrations of the purified R-enantiomer of  
10    etodolac. Then the dead cells were stained with propidium iodide, and the multiple myeloma cells were stained with fluorescent monoclonal anti-CD38 antibodies. The data were analyzed by fluorescent activated cell sorting. Figures 9-10 show that R-etodolac did not kill the normal bone marrow cells (light bars), but dose-dependently killed the multiple myeloma cells (dark  
15    shaded areas), in the marrow cells from both patients.

**Example 6. Etodolac Cytotoxicity to Cancer Cell Lines**

Table 1 summarizes the cytotoxic effects of R(-)-etodolac toward prostate cancer cell lines and one colon cancer cell line are indeed within  
20    clinically achievable concentrations, given that a 1 gram dosage of R(-)-etodolac should yield a maximal plasma concentration in a human subject of about 400  $\mu$ M. The fact that the R(-)- and S(+)-enantiomers are both cytotoxic indicates that the anti-prostate cancer activity is COX independent. Note that R(-)-etodolac, which is devoid of anti-inflammatory activity, nonetheless is more  
25    toxic to prostate cancer cells than is S(+) etodolac.

Table 1

	Cell line	Origin	Etodolac R/S	Etodolac R	Etodolac S	Phenoty
	PC-3	Prostate	340 ± 20*	150 ± 15*	800 ± 30*	Sensitive
5	LNCaP-FGC	Prostate	400 ± 35	270 ± 50	220 ± 20	Sensitive
	Alva-31	Prostate	>1000	>1000	>1000	Resistant
	OVCAR-3	Ovarian	>1000	>1000	>1000	Resistant
	MDA-MB-231	Breast	>1000	>1000	>1000	Resistant
	HCT-116	Colon	450 ± 15	280 ± 20	420 ± 50	Sensitive
10	SW260	Colon	1000 ± 120	ND	ND	Resistant
	A549	Lung	>1000	>1000	>1000	Resistant

\* IC<sub>50</sub> (μM) of Etodolac R/S, R or S. Cytotoxicity was assessed by MTT assay after three days continuous exposure to decreasing concentrations of the agent.

15 The results were confirmed by FACS using propidium iodide uptake.

#### Example 7. Etodolac Downregulation of Mcl-1 and Bag-1

As planar hydrophobic compounds, etodolac and other NSAIDS can  
 20 readily insert into cell and organ membranes, and can disrupt their structure and function (S. B. Abramson et al., Arthritis and Rheumatism, 32, 1 (1989)). The proteins Mcl-1 and Bag-1 are anti-apoptotic members of the bcl-2 family that are found in mitochondria (X. Wang et al., Exp. Cell Res., 235, 210 (1997)). As early as two hours after incubation with 100 μM etodolac, Mcl-1 and Bag-1  
 25 levels fell in an etodolac sensitive prostate cancer cell line (LNCaP). The fall in Mcl-1 and Bag-1 levels was prevented by co-incubation of the prostate cells with 5.0 μM MG-132, a recently described inhibitor of the proteasome (Figure 11, Panels A and B, respectively) (D. H. Lee et al., Trends Cell Biol., 8, 397 (1998)). Detergent lysates (20 μg per lane) were subjected to SDS-PAGE and  
 30 immunoblotted with anti-Mcl-1 and anti-Bag-1 antibodies. Pre-incubation of the cells with Z-VAD, a broad-spectrum caspase inhibitor, did not prevent the Mcl-1 and Bag-1 downregulation. Etodolac incubation did not alter Bcl-2 and Bax levels (data not shown). Thus, etodolac did not interfere with Mcl-1 synthesis, but probably accelerated its turnover. Both R- and S-etodolac induced Mcl-1  
 35 degradation at equivalent concentrations.

### **Example 8. Expression of PPAR- $\gamma$ in Cancer Cell Lines**

Although etodolac has not been previously studied, high concentrations of other NSAIDs have been reported to activate the nuclear hormone receptor PPAR- $\gamma$  (J. M. Lehmann et al., *J. Biol. Chem.*, **272**, 3406 (1997). Moreover, 5 maximal activation of PPAR- $\gamma$  induces apoptosis in human macrophages (G. Chinetti et al., *J. Biol. Chem.*, **273**, 25579 (1998). Therefore, it was of interest to determine if prostate cells express PPAR- $\gamma$ , and to compare the expression level with other cancer types. Detergent lysates (20  $\mu$ g per lane) obtained from subconfluent cell lines were subjected to SDS-PAGE and immunoblotted with 10 anti-PPAR- $\gamma$  antibodies. To normalize the PPAR- $\gamma$  content, the membrane was reblotted with an anti-actin monoclonal antibody. Lane 1: PC-3, Lane 2: SW260, Lane 3: A549, Lane 4: MDA-MB-231, Lane 5: Alva-31, Lane 6: LNCaP, Lane 7: HCT-116 (see Table 1). It was observed that some etodolac-susceptible prostate cells (PC3 especially) expressed remarkably high levels of 15 immunoreactive PPAR- $\gamma$  (Figure 12).

### **Example 9. Activation of PPAR- $\gamma$ by Etodolac**

RAW264.7 cells were transfected at a density of  $3 \times 10^5$  cells/ml in six well plates using lipofectamine with the PPAR- $\gamma$  expression vector pCMX- 20 PPAR- $\gamma$  (0.1  $\mu$ g), and the PPAR- $\gamma$  reporter construct (AOx)<sub>3</sub>-TK-Luc (1  $\mu$ g) as previously described by M. Ricote et al., *Nature*, **391**, 79 (1998). Cells were treated for 24 hours with the compounds indicated on Figure 13, harvested and assayed for luciferase activity. Results are expressed as the mean  $\pm$  SD. As shown in Figure 13, both the R- and S- enantiomers of etodolac activated a 25 PPAR- $\gamma$  reporter gene construct at concentrations readily achieved in human plasma after *in vivo* administration. THP-1 human monocytic cells (ATCC) were incubated in the presence or absence of phorbol ester (40 ng TPA) and 200  $\mu$ M racemic etodolac or 20  $\mu$ M troglitazone. After three days of culture, the surface expression of the scavenger receptor CD36 was measured by flow 30 cytometry. As shown in Figure 14, both R- and S-etodolac caused the

expression of CD36, a marker of PPAR- $\gamma$  activation, in the human cell line THP-1 during macrophage differentiation.

**Example 10. Etodolac Treatment of Prostate Cancer Tissue Samples**

5        Freshly obtained prostatectomy samples were cut into 3 mm<sup>3</sup> pieces, and incubated for 72 hours in RPMI-1640 supplemented with 10% FBS and antibiotics in the absence (A, 400X) or presence of racemic etodolac (B, 400X) or the purified R enantiomer (C, 400X; and D, 630X). The tissues were next  
10        fixed in 4% paraformaldehyde in PBS, embedded in paraffin, sectioned and stained with hematoxylin and eosin. Figure 15A shows the infiltrating tumor cells (large nuclei) and some residual normal epithelium. Figures 15 B to 15 D show the effect of etodolac: note the abundant presence of pyknotic apoptotic nuclei (dark arrows, B and D), and the disintegration of the neoplastic glandular architecture (B+C). Etodolac was found to be selectively toxic to the tumor  
15        cells, but did not affect normal basal cells. The racemic mixture (R/S) and the purified R and S analogs were found both active.

**Example 11. Prospective Protocol for Screening to Identify Etodolac Analogs**

20        A.    Screening of analogs by competition against radiolabeled R-etodolac  
Etodolac-sensitive chronic lymphocytic leukemia [CLL] cells, or other cancer cells, will be utilized for drug screening in radioreceptor binding assay. In brief, frozen CLL cells will be washed three times in Hanks' Balanced Salt Solution (HBSS) and resuspended in HBSS-HEPES. The assay will be done in  
25        a total volume of 200  $\mu$ l containing approximately 2 million cells, [3H]-R-Etodolac [sp.act.20-25 Ci/mmol, prepared by Sibtech] and potential competitors or buffer are incubated in at varying temperatures [4 and 37°C] and times [0-60 minutes]. For each sample, triplicate 50  $\mu$ l aliquots will be layered over 300  $\mu$ l 20% sucrose in HBSS-HEPES in 1.5 ml polypropylene snap top tubes and  
30        pelleted for 2.5 minutes at 15000 rpm in a Beckman microfuge. This procedure rapidly separates the cell-bound and cell-free etodolac. The tube tips will be cut

off and the cell pellets will be solubilized and counted in a scintillation counter. Some of the incubation mixtures will contain excess unlabeled etodolac as a control. Specific binding is the difference in the bound cpm in tubes containing the radiolabeled etodolac minus the cpm in the tubes containing the radiolabeled  
5 etodolac and the excess cold competitor etodolac. Test agents are compared to the unlabeled cold competitor etodolac for their abilities to inhibit radiolabeled etodolac binding. Compounds that can inhibit the binding of radiolabeled etodolac to its receptor(s) are advanced to the next screen.

B. Intracellular  $\text{Ca}^{2+}$  mobilization in CLL

10 Increase of intracellular calcium levels in CLL cells by test compounds such as etodolac analogs will be measured by a flow cytometric assay (FACS) and by using a fluorometric imaging plate reader system (FLIPR, Molecular Devices Corp., Sunnyvale, CA) using the Fluo-4 dye (Molecular Probes). Briefly, CLL cells ( $5 \times 10^6/\text{ml}$ ) will be loaded for 30 min with  $4 \mu\text{M}$  of Fluo-4 at  
15  $37^\circ\text{C}$  in serum-free medium, washed twice, and resuspended for an additional 30 min in normal cell culture medium. The loaded cells will be then mixed in FACS tubes with medium containing a test agent, and immediately thereafter the fluorescence will be followed by FACS analysis over a period of 3 minutes. For high-throughput screening (HTS) assays, the FLIPR-based assay will allow  
20 screening in a 96-well plate format, using the same fluorometric dye (Fluo-4). Positive controls will be performed using the calcium ionophore ionomycin at  $50 \text{ ng/ml}$  final concentration, with chemokines such as SDF-1 and IP-10, and with anti-IgM cross-linking antibodies. Compounds that increase the  $\text{Ca}^{+2}$  uptake by CLL cells, preferably to at least the level induced by R(-)-etodolac are advanced  
25 to the next screen.

C. Chemotaxis and chemokinesis assays

Cell migration will be measured in a 24-well modified Boyden chamber (Transwell, Corning-Costar, NY). The recombinant human IP-10 chemokine (R&D Systems, McKinley Place, NE) will be diluted in RPMI-1640 medium at  
30  $200 \text{ ng/ml}$ , and used to evaluate the chemotactic properties of lymphocytes from B-CLL patients. Polycarbonate membranes with pore size of  $3 \text{ mm}$  will be used.



A total of 600 mL of chemokines or control medium will be added to the bottom wells, and 100 mL of  $2$  to  $5.0 \times 10^6$  cells/mL cells resuspended in RPMI-1640 will be added to the top wells. The chamber will be incubated at  $37^\circ\text{C}$  with 5%  $\text{CO}_2$  for 2 hours. The membranes will then be removed, and the cells present on the bottom well will be quantified by flow cytometry. For cell quantification, a fixed acquisition time of 30 seconds will be used per sample, and beads will be run during each experiment to ensure a reproducible acquisition. Test agents that induce a chemokinetic response in the lymphocytes, such a chemotactic response, preferably at least as effectively as R(-) etodolac, will be advanced to the next screen.

D. Induction of apoptosis in cancer cells

The pro-apoptotic activity of the test agents, e.g., the R-etodolac analogs, will be tested in primary CLL cells, as well as in other tumor cells, by using the MTT assay and by measuring the catalytic activation of caspase-3 using a fluorometric assay. In brief, cells will be incubated for up to 3 days in presence of serial dilutions of the selected test agents. Cells viability will be quantified in 96-well plates by adding the MTT reagent (at 1 mg/mL final) for 2-4 hours followed by SDS cell lysis and spectrophotometric analysis at 570 nm. Caspase catalytic activity will be measured in a 96-well plate assay using a specific fluorometric substrate (DEVD-AMC), after lysing the treated cells with a CHAPS/NP-40 lysing buffer followed by fluorometric analysis. Test agents that exhibit pro-apoptotic activity, e.g., that increase caspase activity, preferably at least as effectively as R(-)-etodolac, will be advanced to the next screen.

E. Lymphocyte depletion in mouse

The selected test agent will be orally delivered to mice of various backgrounds in a single dose of 25 and 100 mg/kg. The number of white blood cells will be counted using a Neubauer chamber after 4, 24 hrs, 7 and 14 days post treatment. Test agents that do not lower white cell levels substantially, preferably no more than does R(-) etodolac, will be advanced to the next screen.

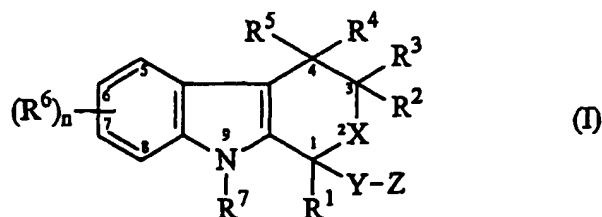
**F. Tumor animal model**

The anti-cancer and preventive activity of the R-etodolac analogs will be tested using the pristane-induced mouse myeloma model, and the transgenic adenocarcinoma mouse prostate (TRAMP) model. The mice will receive a diet  
5 supplemented with 0.05% to 0.5% of the selected test agent or control. The experimental diets will be in the form of sterile pellets containing the test agent (provided by Dyets Inc., PA). For prevention of cancer experiments in the mouse myeloma model, the diet will be initiated at the same time as the first  
10 pristane injection. For the transgenic prostate cancer model, the diet will begin at birth. For therapeutic experiments, the diet will begin in the TRAMP mice at week 10, when the first histological pathologic markers are usually observed. Analogs will advance to clinical trials or further development based on their activity to inhibit cancer in at least one of these screens.

All of the publications and patent documents cited hereinabove are  
15 incorporated by reference herein. The invention has been described with reference to various specific and preferred embodiments and techniques. However, it should be understood that many variations and modifications may be made while remaining within the spirit and scope of the invention.

What is claimed is:

1. A compound of formula (I):



- wherein  $R^1$  is lower alkyl, lower alkenyl, (hydroxy)lower alkyl, lower alkynyl, phenyl, benzyl or 2-thienyl,  $R^2$ ,  $R^3$ ,  $R^4$  and  $R^5$  are the same or different and are each hydrogen or lower alkyl; each  $R^6$  is individually hydrogen, lower alkyl, hydroxy, (hydroxy)lower alkyl, lower alkoxy, benzyloxy, lower alkanoyloxy, nitro or halo,  $n$  is 1-3,  $R^7$  is hydrogen, lower alkyl or lower alkenyl,  $X$  is oxy and thio,  $Y$  is carbonyl,  $(CH_2)_{1,3}$ ,  $(CH_2)_{1,3}SO_2$  or  $(CH_2)_{1,3}C(O)$ , and  $Z$  is  $(\omega$ -(4-pyridyl)( $C_2$ - $C_4$  alkoxy),  $(\omega$ -( $R^8$ )( $R^9$  amino)( $C_2$ - $C_4$  alkoxy), wherein  $R^8$  and  $R^9$  are each H, ( $C_1$ - $C_3$ )alkyl or together with N are a 5- or 6-membered heterocyclic ring comprising 1-3 N( $R^8$ ), S or nonperoxide O; an amino acid ester of  $(\omega$ -(HO)( $C_2$ - $C_4$ )alkoxy, N( $R^8$ )CH( $R^8$ )CO<sub>2</sub>H, 1'-D-glucuronyloxy; or  $Y-Z$  is  $(CH_2)_1$ ,  $R^8$ ; wherein  $R^8$  is OH, ( $C_2$ - $C_4$ )acyloxy, SO<sub>3</sub>H, PO<sub>4</sub>H<sub>2</sub>, N(NO)(OH), SO<sub>2</sub>NH<sub>2</sub>, PO(OH)(NH<sub>2</sub>), or tetrazolyl; or a pharmaceutically acceptable salt thereof.
2. The compound of claim 1 wherein  $Z$  is the L-valine or L-glycine ester of 2-hydroxyethoxy.

3. The compound of claim 1 wherein  $Z$  is N-morpholinoethoxy.

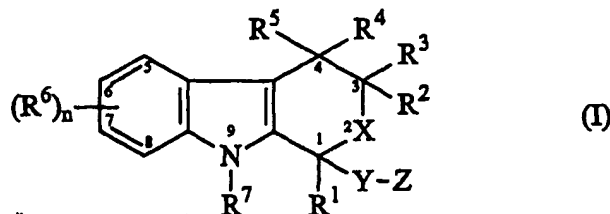
4. The compound of claim 1 wherein each  $R^8$  is H, CH<sub>3</sub> or i-Pr.

5. The compound of claim 1 wherein  $Z$  is OCH<sub>2</sub>CH<sub>2</sub>N(CH<sub>3</sub>)<sub>3</sub><sup>+</sup>.

6. A composition comprising the compound of claim 1 in combination with a pharmaceutically acceptable carrier.
7. The composition of claim 6 which is a tablet, granule or capsule.
- 5 8. The composition of claim 6 wherein the carrier is an aqueous vehicle.
9. The composition of claim 8 which is an aqueous solution.
- 10 10. A method of inhibiting cancer comprising administering an effective amount of the compound of claim 1 to a mammal afflicted with cancer.
11. A method of inhibiting cancer comprising administering an effective amount of the composition of claim 6 to a mammal afflicted with cancer.
- 15 12. The method of claim 10 or 11 wherein the cancer is prostate cancer.
13. The method of claim 10 or 11 wherein the cancer is multiple myeloma.
- 20 14. The method of claim 10 or 11 wherein the cancer is chronic lymphocytic leukemia.
15. The method of claim 11 wherein the composition is administered orally.
- 25 16. The method of claim 15 wherein an enterically coated dosage form is administered.
17. The method of claim 11 wherein the composition is administered parenterally.

30

18. The method of claim 11 wherein the composition is administered in combination with a chemotherapeutic agent.
19. The method of claim 12 wherein the composition is administered in combination with a chemotherapeutic agent.
20. The method of claim 18 wherein the chemotherapeutic agent is mitoxanthrone, prednisone, estramustine, vinblastine or a combination thereof.
21. The method of claim 12 wherein the chemotherapeutic agent is an anti-androgen.
22. The method of claim 21 wherein the anti-androgen is bicaftamide, nilutamide, flutamide, cycloproterone acetate or a combination thereof.
23. The method of claim 21 wherein the anti-androgen is leuprolide acetate, goserelin acetate or a combination thereof.
24. A therapeutic method comprising:
- (a) evaluating the level of at least one of PPAR- $\gamma$ , Mcl-1 or Bag-1 in cancer cells isolated from a patient afflicted with prostate cancer to determine if said level is sufficiently high so that said cells would be susceptible to inhibition by a compound of formula (I):



- wherein R<sup>1</sup> is lower alkyl, lower alkenyl, (hydroxy)lower alkyl, lower alkynyl, phenyl, benzyl or 2-thienyl, R<sup>2</sup>, R<sup>3</sup>, R<sup>4</sup> and R<sup>5</sup> are

- the same or different and are each hydrogen or lower alkyl; each  $R^6$  is individually hydrogen, lower alkyl, hydroxy, (hydroxy)lower alkyl, lower alkoxy, benzyloxy, lower alkanoyloxy, nitro or halo, n is 1-3,  $R^7$  is hydrogen, lower alkyl or lower alkenyl, X is oxy and thio, Y is carbonyl,  $(CH_2)_{1-3}$ ,  $(CH_2)_1$ ,  $_3SO_2$  or  $(CH_2)_{1-3}C(O)$ , and Z is  $(\omega-(4\text{-pyridyl})(C_2-C_4 \text{ alkoxy}), (\omega-((R^8)(R^9) \text{ amino})(C_2-C_4 \text{ alkoxy}),$  wherein  $R^8$  and  $R^9$  are each H,  $(C_1-C_3)\text{alkyl}$  or together with N are a 5- or 6-membered heterocyclic ring comprising 1-3  $N(R^8)$ , S or nonperoxide O; an amino acid ester of  $(\omega-(HO)(C_2-C_4)\text{alkoxy}, N(R^8)CH(R^8)CO_2H$ , 1'-D-glucuronyloxy; or Y-Z is  $(CH_2)_{1-3}R^8$  wherein  $R^8$  is OH,  $(C_2-C_4)\text{acyloxy}$ ,  $SO_3H$ ,  $PO_4H_2$ ,  $N(NO)(OH)$ ,  $SO_2NH_2$ ,  $P(O)(OH)(NH_2)$  or tetrazolyl; or a pharmaceutically acceptable salt thereof; and
- (b) administering to said patient an amount of a compound of formula (I) effective to inhibit said cells or to sensitize said cells to inhibition by administration of a chemotherapeutic agent.

25. The method of claim 24 wherein Y-Z is a pyridylalkyl ester, a morpholinoalkyl ester, an aminoalkyl ester or a hydroxyalkyl ester.
26. The method of claim 24 wherein Y-Z is a glucamine ester or N-( $C_1-C_4$ )alkyl-glucamine ester of  $CH_2CO_2H$ .
27. The method of claim 24 wherein Y-Z is the 1'-D-glucuronate ester of  $CH_2CO_2H$ .
28. The method of claim 24 wherein Y-Z is a water-soluble amide of  $CH_2CO_2H$ .

29. The method of claim 28 wherein Y-Z is an amino acid amide of  $\text{CH}_2\text{CO}_2\text{H}$ .
30. The method of claim 24 wherein the level of PPAR- $\gamma$  is evaluated.
- 5 31. A method for determining the ability of a test agent to inhibit prostate cancer cells comprising contacting a population of cells from a prostate cancer cell line that expresses PPAR- $\gamma$  with said agent and determining whether the agent increases the expression of PPAR- $\gamma$  in said cells.
- 10 32. A method for determining the ability of a test agent to inhibit prostate cancer cells comprising contacting a population of cells from a prostate cancer cell line that expresses Mcl-1 or Bag-1 with said agent and determining whether the agent decreases the expression of Mcl-1 in said cells.
- 15 33. A method for determining the ability of a test agent to inhibit cancer comprising determining whether or not the agent competitively inhibits the receptor-mediated binding of radiolabeled etodolac to cancer cells.
- 20 34. The method of claim 33 wherein the cancer cells are etodolac sensitive.
35. The method of claim 33 wherein the etodolac is R(-)-etodolac.
36. The method of claim 31, 32, 33, 34 or 35 further comprising determining  
25 whether or not the agent increases the uptake of calcium by cancer cells.
37. The method of claim 36 further comprising determining whether or not the test agent can induce a chemokinetic response in a population of lymphocytes.
- 30

38. The method of claim 37 wherein the response enhances the ability of the lymphocytes to exhibit chemotaxis.
39. The method of claim 38 wherein the lymphocytes comprise B-CLL  
5 lymphocytes.
40. The method of claim 37 further comprising determining whether or not the test agent can induce apoptosis in cancer cells.
- 10 41. The method of claim 40 wherein the cancer cells are CLL cells.
42. The method of claim 40 comprising determining whether or not the test agent can increase caspase-3 activity.
- 15 43. The method of claim 40 further comprising determining whether or not the test agent lowers the white cell count of a test animal.
44. The method of claim 43 wherein the test animal is a mouse.
- 20 45. The method of claim 43 further comprising determining whether or not the test agent can inhibit cancer induction in the pristane-induced murine MLL model.
46. The method of claim 43 further comprising determining whether or not  
25 the test compound can inhibit cancer in the transgenic adenocarcinoma mouse prostate cancer model.
47. A method for determining the susceptibility of prostate cancer to treatment by a compound that activates PPAR- $\gamma$  expression in prostate cancer  
30 cells comprising:
- (a) isolating prostate cancer cells from a human subject; and



- (b) evaluating whether or not said cells express PPAR- $\gamma$  at a level sufficient to render them subject to inhibition by said compound.

48. A method for determining the susceptibility of prostate cancer to  
5 treatment by a compound thereof that downregulates Mcl-1 or Bag-1 expression in prostate cancer cells comprising:

- (a) isolating prostate cancer cells from a human subject;
- (b) evaluating whether or not said cells express Mcl-1 at a level sufficient to render them subject to inhibition by said compound.

10

49. The compound as described in claim 1 for use in medical therapy.

50. The use of a compound as described in claim 1 for the manufacture of a  
medicament useful for the treatment of a disease in a mammal, such as a human.

15

Figure 1

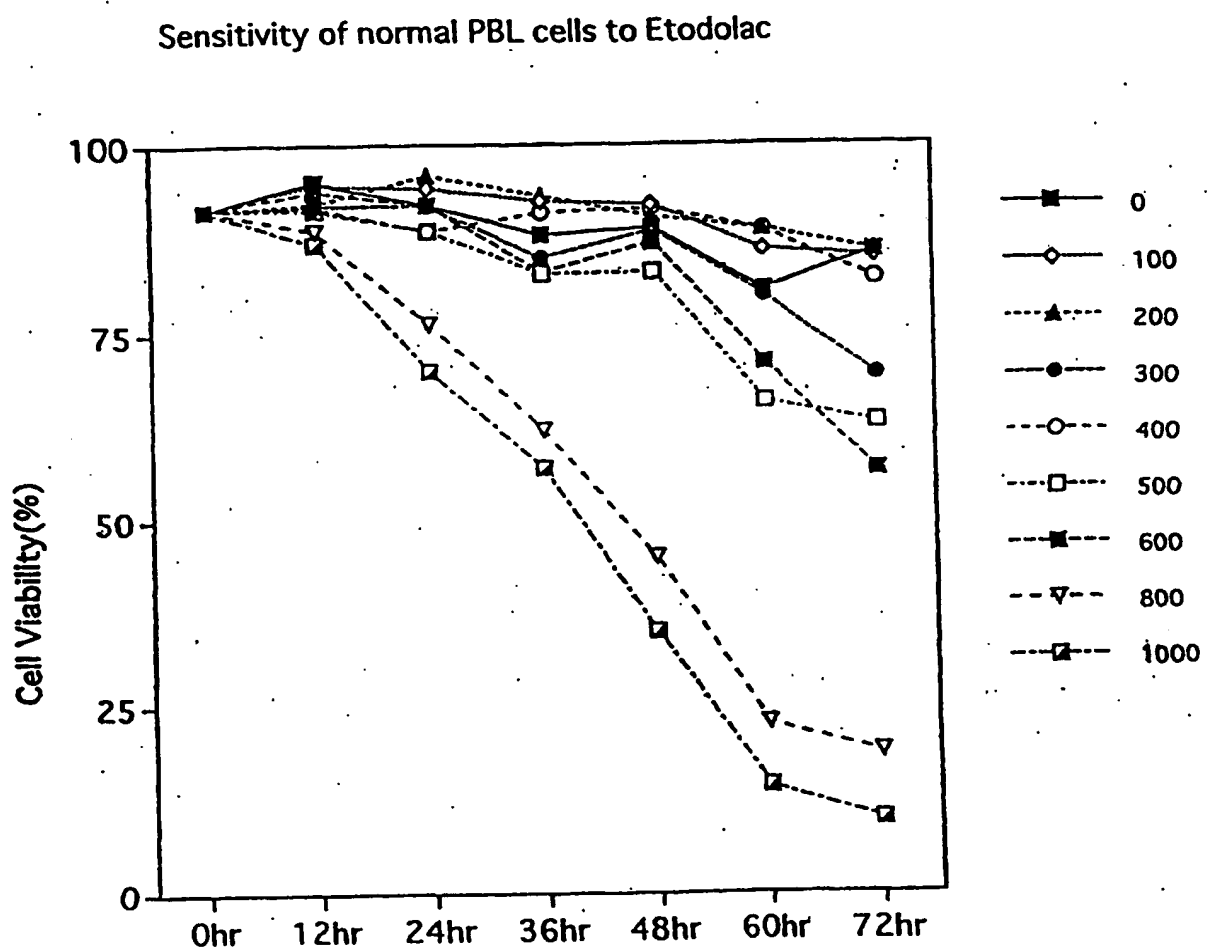


Figure 2

## Sensitivity of CLL cells to Etodolac

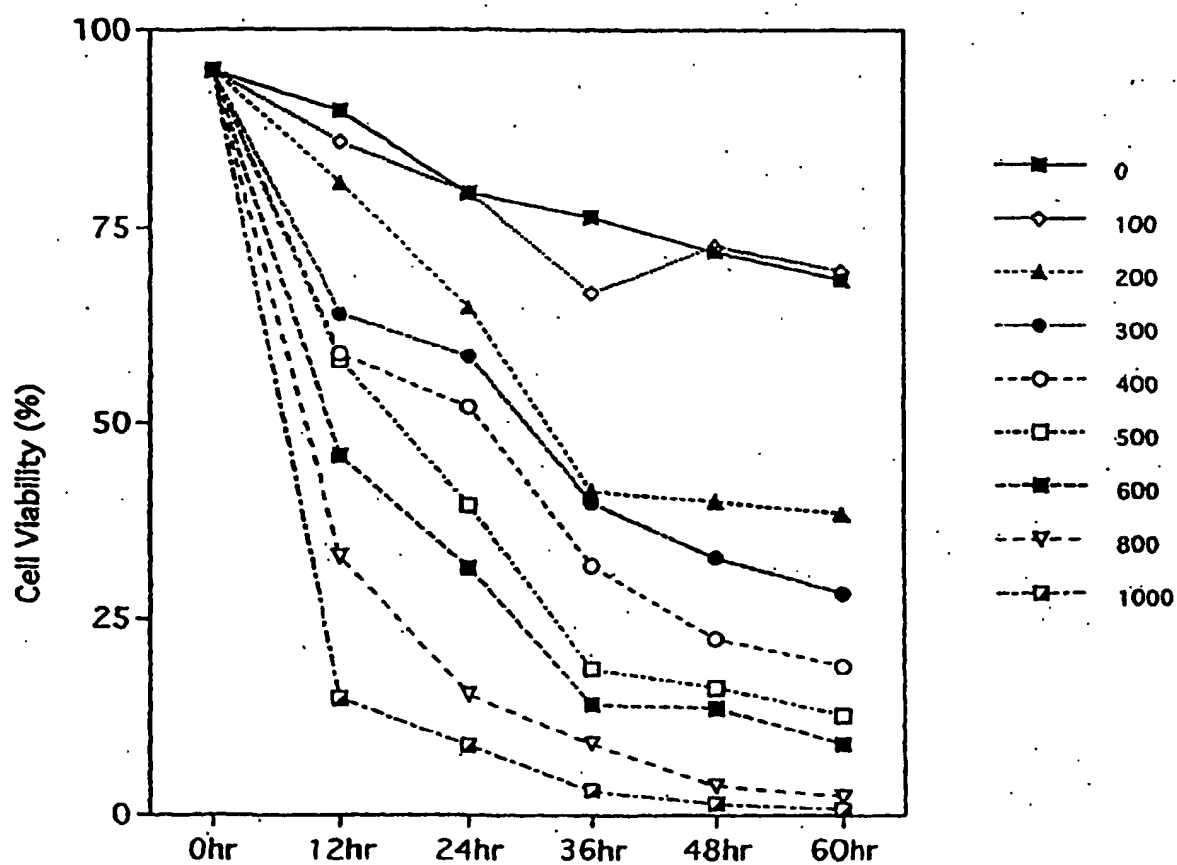


Figure 3

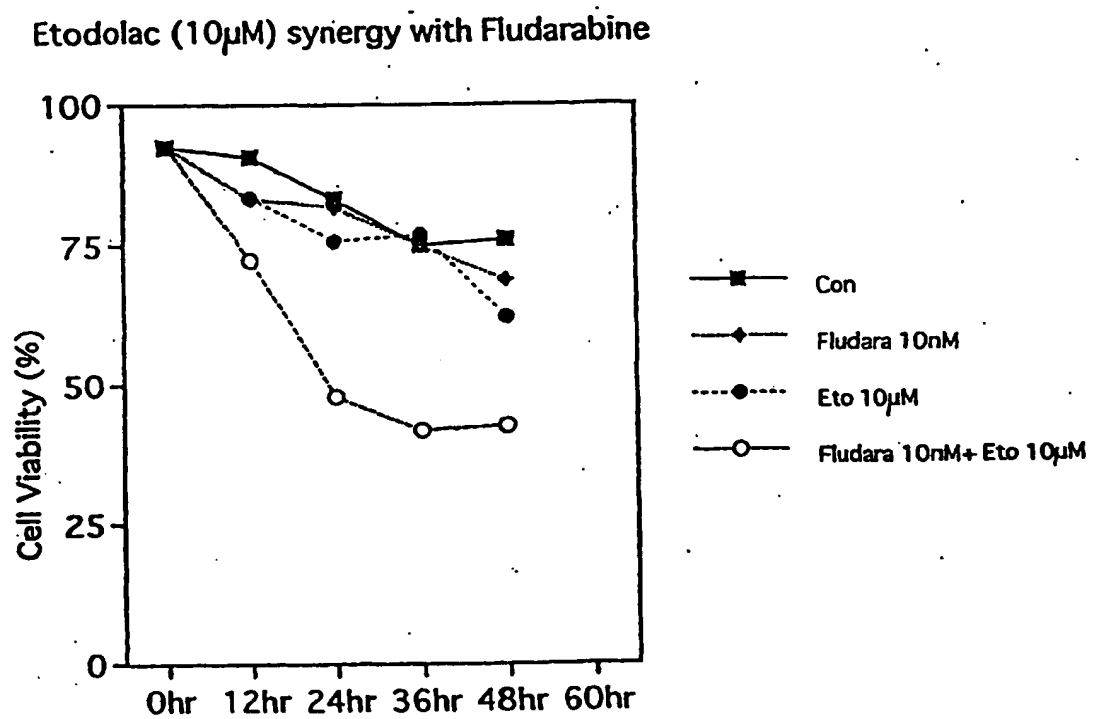


Figure 4

Etodolac (50 $\mu$ M) synergy with 2CdA and Fludarabine

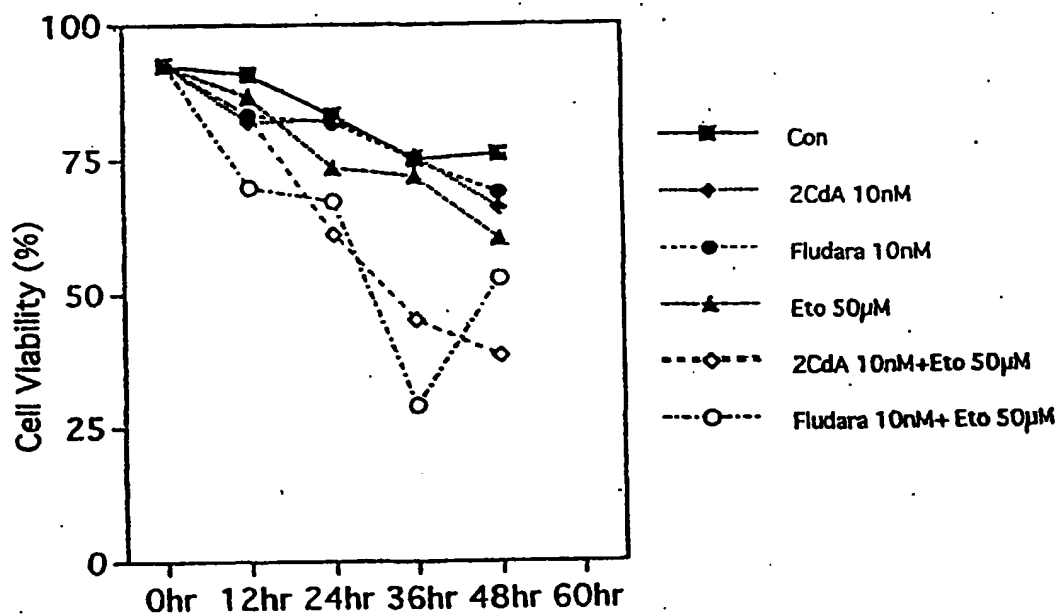


Figure 5

Sensitivity of CLL cells to S-Etodolac and R-Etodolac

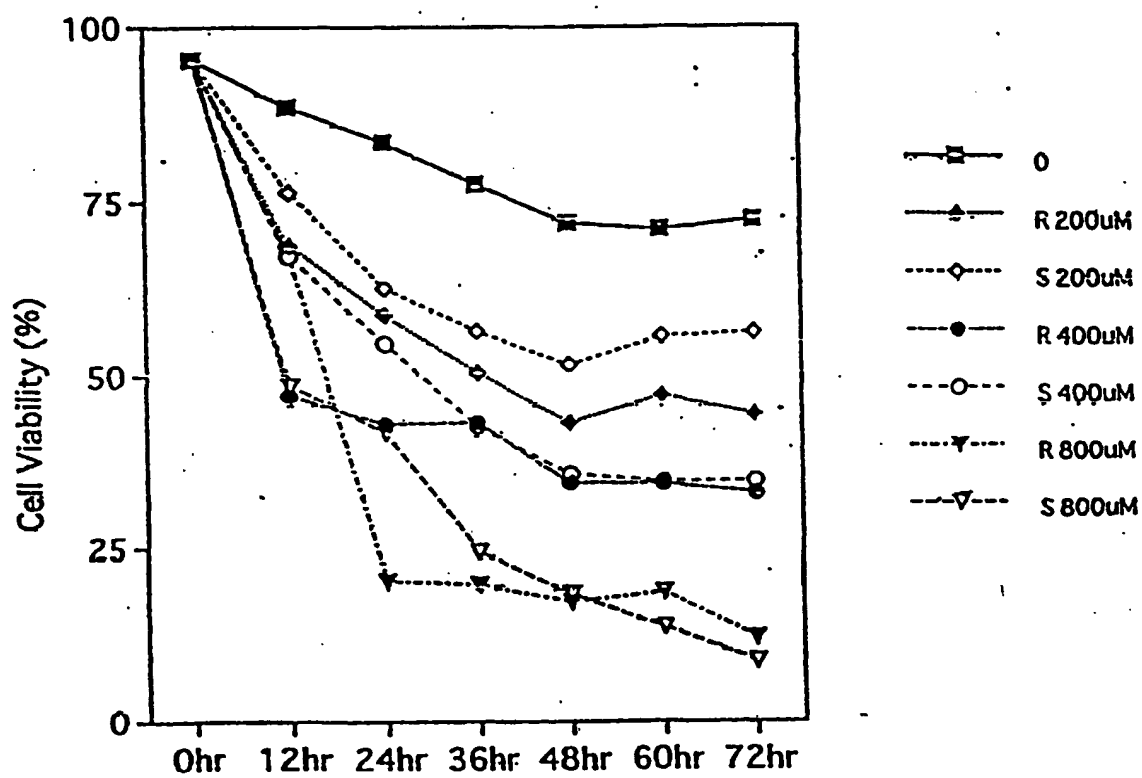
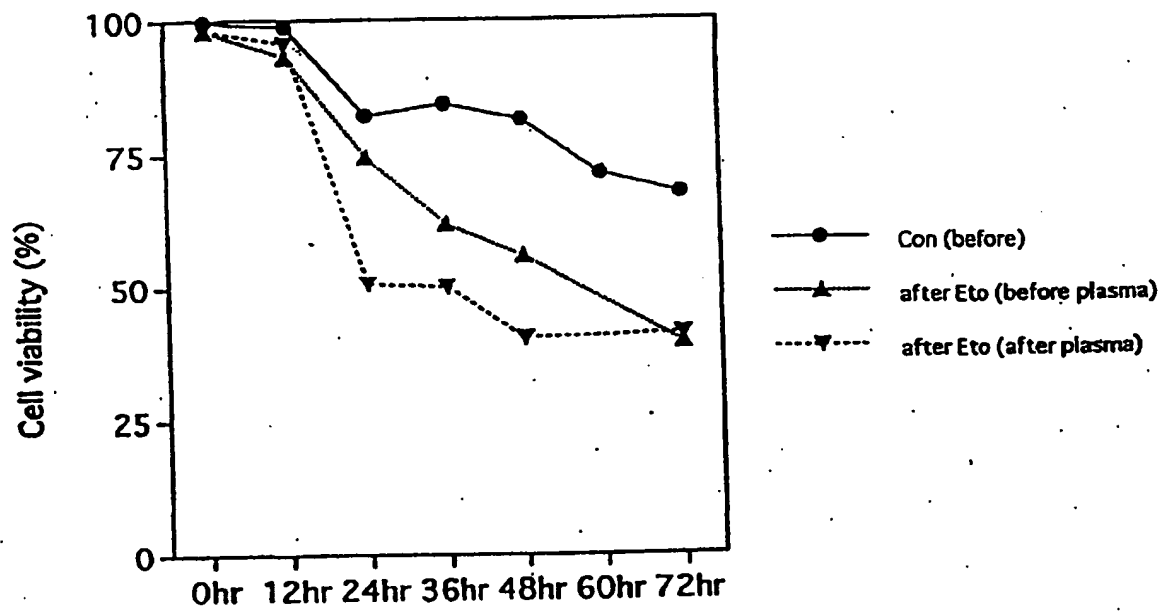
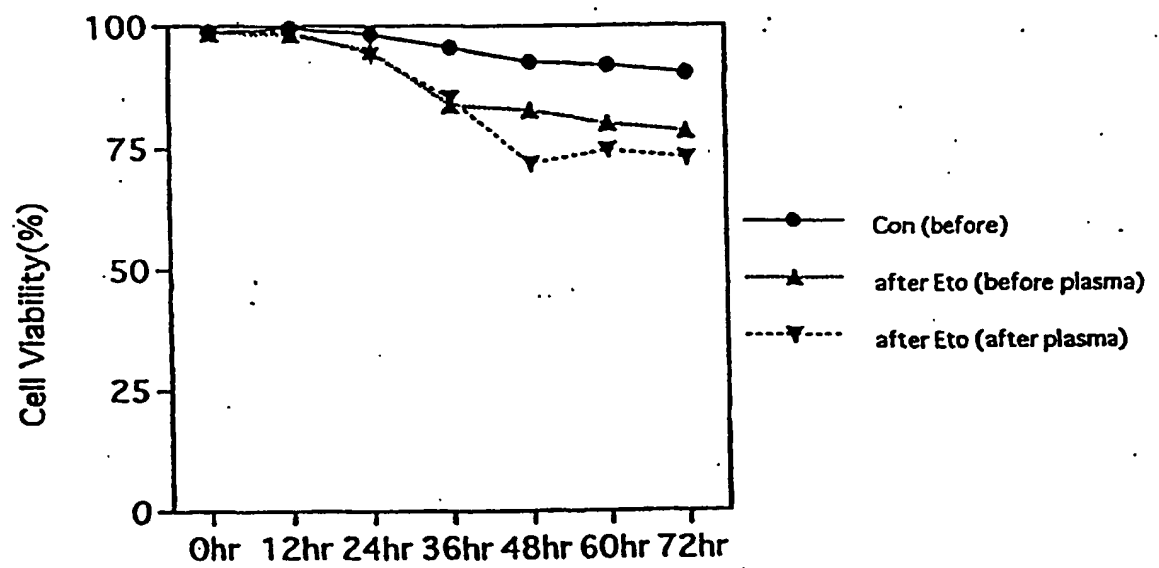


Figure 6

Cell Viability of CLL cells (J.K.) before and after Etodolac treatment

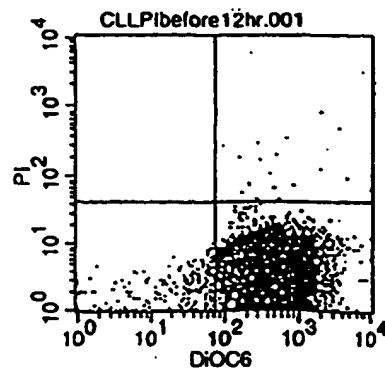


Cell Viability of CLL cells (N.A.) before and after Etodolac treatment

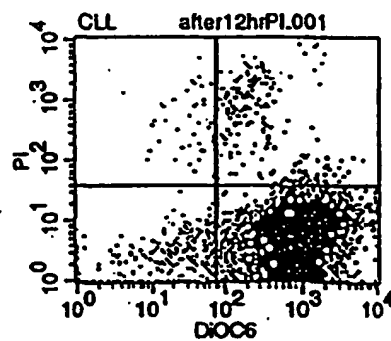




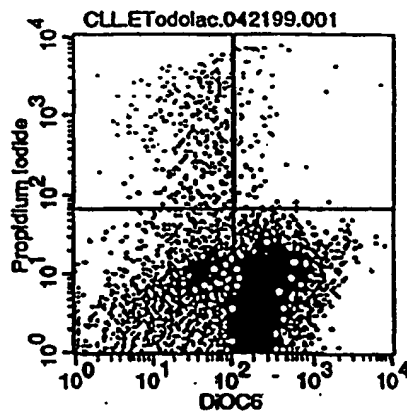
12 hr  
before



12 hr  
after



24 hr  
before



24 hr  
after

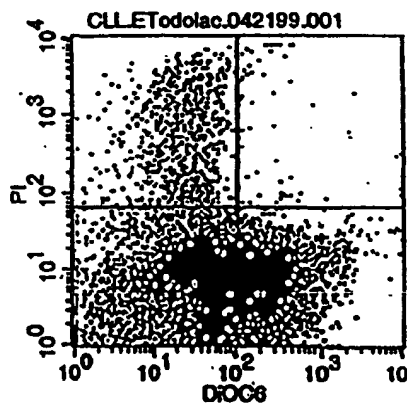


Fig. 9

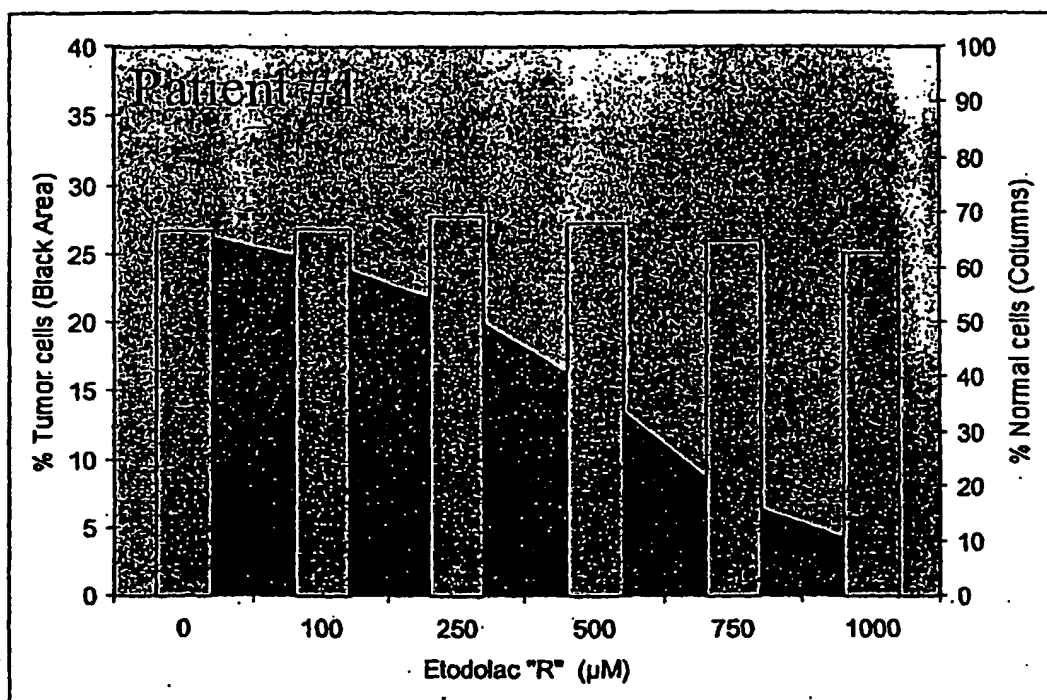


Fig. 10

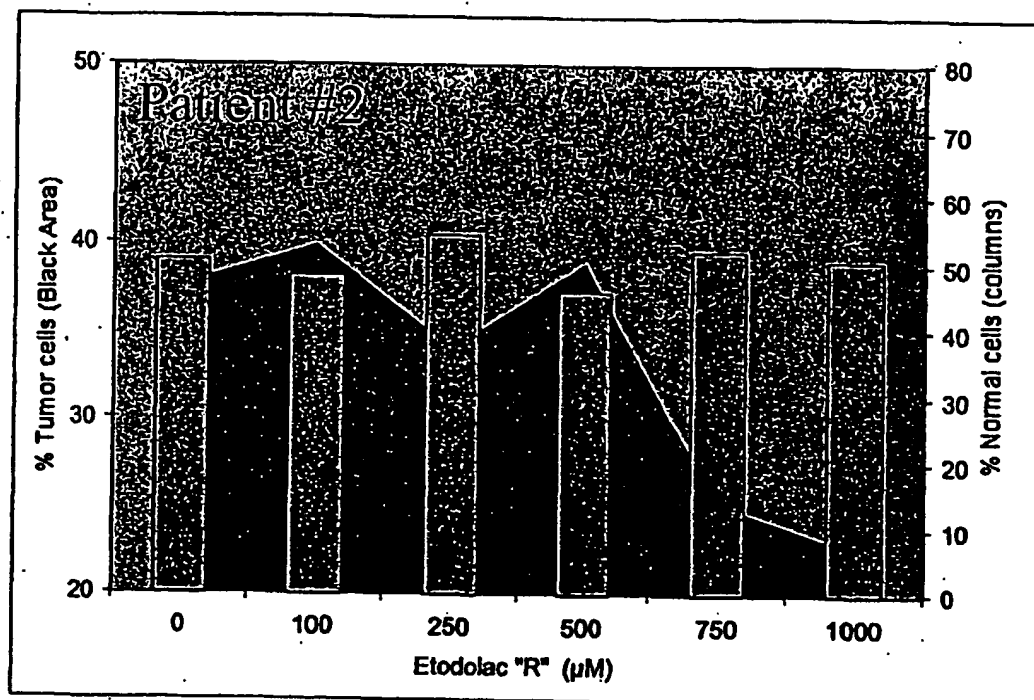


Figure 11

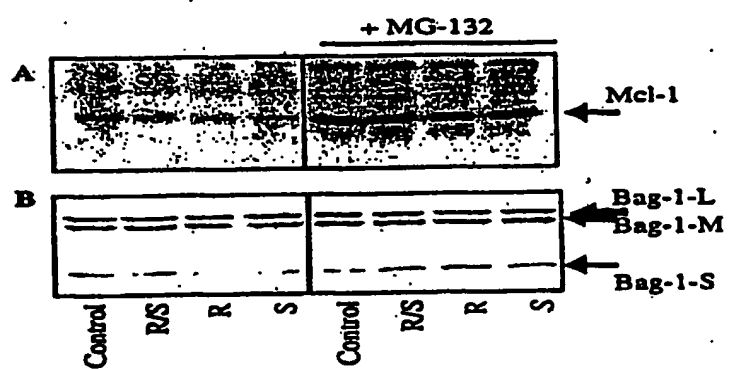


Figure 12

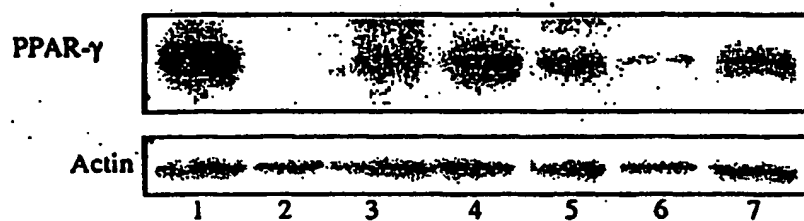


Fig. 13

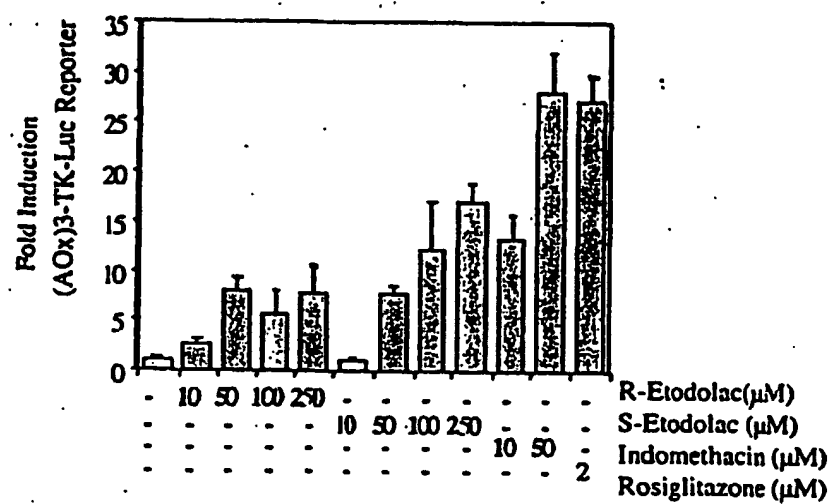


Figure 14

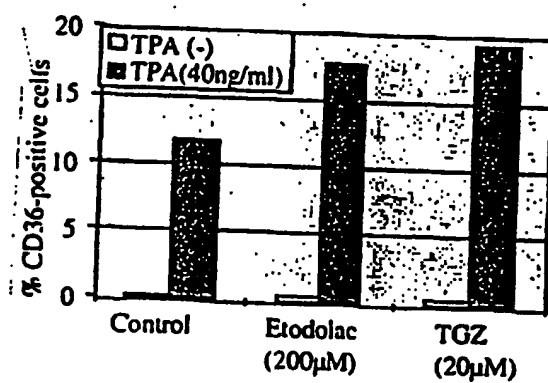
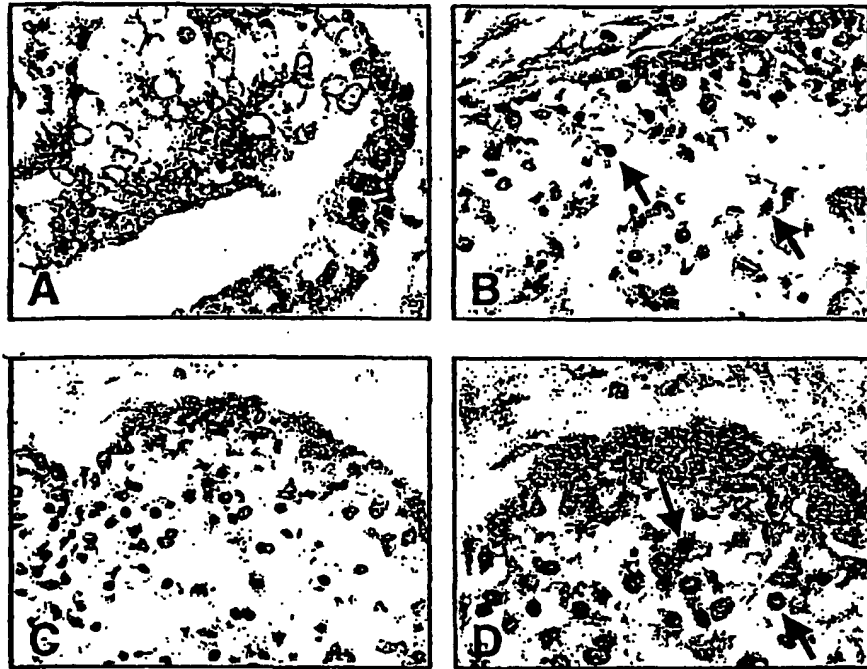


Figure 15





(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
14 February 2002 (14.02.2002)

PCT

(10) International Publication Number  
WO 02/012188 A3

(51) International Patent Classification<sup>7</sup>: C07D 491/04,  
A61K 31/395, A61P 43/00, C07D 495/04, G01N 33/00 //  
(C07D 491/04, 331:00, 209:00) (C07D 495/04, 335:00,  
209:00)

(21) International Application Number: PCT/US01/24978

(22) International Filing Date: 9 August 2001 (09.08.2001)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:  
09/634,207 9 August 2000 (09.08.2000) US

(71) Applicant (for all designated States except US): THE  
REGENTS OF THE UNIVERSITY OF CALIFORNIA  
[US/US]; 12th Floor, 1111 Franklin Street, Oakland, CA  
94607-5200 (US).

(72) Inventors; and

(75) Inventors/Applicants (for US only): CARSON, Dennis,  
A. [US/US]; 14824 Vista del Oceano, Del Mar, CA 92014  
(US). LEONI, Lorenzo, M. [CH/US]; 3977 Camino  
Calma, San Diego, CA 92122 (US). COTTAM, Howard,  
B. [US/US]; 1890 Lendee Drive, Escondido, CA 92025  
(US).

(74) Agent: VIKSNINS, Ann, S.; Schwegman, Lundberg,  
Woessner & Kluth, P.O. Box 2938, Minneapolis, MN  
55402 (US).

(81) Designated States (national): AE, AG, AL, AM, AT, AU,  
AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU,  
CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH,  
GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC,  
LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW,  
MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK,  
SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA,  
ZW.

(84) Designated States (regional): ARIPO patent (GH, GM,  
KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian  
patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European  
patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE,  
IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF,  
CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD,  
TG).

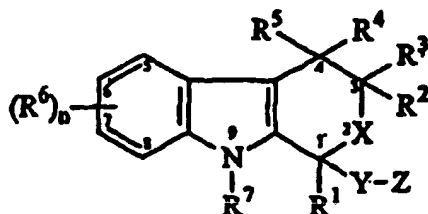
Published:

— with international search report

(88) Date of publication of the international search report:  
3 October 2002

For two-letter codes and other abbreviations, refer to the "Guid-  
ance Notes on Codes and Abbreviations" appearing at the begin-  
ning of each regular issue of the PCT Gazette.

(54) Title: INDOLE COMPOUNDS USEFUL FOR THE TREATMENT OF CANCER



(I)

(57) Abstract: The present invention provides novel indole derivatives of formula (I): (I) wherein R<sup>1</sup> is lower alkyl, lower alkenyl, (hydroxy)lower alkyl, lower alkynyl, phenyl, benzyl or 2-thienyl, R<sup>2</sup>, R<sup>3</sup>, R<sup>4</sup> and R<sup>5</sup> are the same or different and are each hydrogen or lower alkyl; each R<sup>6</sup> is individually hydrogen, lower alkyl, hydroxy, (hydroxy)lower alkyl, lower alkoxy, benzyloxy, lower alkanoyloxy, nitro or halo, n is 1-3, R<sup>7</sup> is hydrogen, lower alkyl or lower alkenyl, X is oxy

and thio, Y is carbonyl, (CH<sub>2</sub>)<sub>1-3</sub>, (CH<sub>2</sub>)<sub>1-3</sub>SO<sub>2</sub> or (CH<sub>2</sub>)<sub>1-3</sub>C(O), and Z is (ω-(4-pyridyl)(C<sub>2</sub>-C<sub>4</sub> alkoxy), (ω-((R<sup>8</sup>)(R<sup>9</sup>) amino)(C<sub>2</sub>-C<sub>4</sub> alkoxy), wherein R<sup>8</sup> and R<sup>9</sup> are each H, (C<sub>1</sub>-C<sub>3</sub>)alkyl or together with N are a 5- or 6-membered heterocyclic ring comprising 1-3 N(R<sup>8</sup>), S or nonperoxide O; an amino acid ester of (ω-(HO)(C<sub>2</sub>-C<sub>4</sub>))alkoxy, N(R<sup>8</sup>)CH(R<sup>9</sup>)CO<sub>2</sub>H, 1'-D-glucuronyloxy; or Y-Z is (CH<sub>2</sub>)<sub>1-3</sub>R<sup>8</sup>; wherein R<sup>8</sup> is OH, (C<sub>2</sub>-C<sub>4</sub>)acyloxy, SO<sub>3</sub>H, PO<sub>4</sub>H<sub>2</sub>, N(NO)(OH), SO<sub>2</sub>NH<sub>2</sub>, PO(OH)(NH<sub>2</sub>), or a tetrazolyl; or a pharmaceutically acceptable salt thereof, useful to inhibit cancer or sensitize cancer cells to chemotherapeutic agents, radiation or other anti-cancer treatments.

## INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 01/24978

**A. CLASSIFICATION OF SUBJECT MATTER**  
 IPC 7 C07D491/04 A61K31/395 A61P43/00 C07D495/04 G01N33/00  
 //(C07D491/04, 331:00, 209:00), (C07D495/04, 335:00, 209:00)

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 C07D A61K A61P G01N

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

CHEM ABS Data

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	DE 22 26 340 A (AMERICAN HOME PRODUCTS CORPORATION) 15 March 1973 (1973-03-15) * page 62, example 169, the two intermediate products *	1
X	ELISABETTA BRENNI ET AL.: "New enzymatic and chemical approaches to enantiopure etodolac" TETRAHEDRON., vol. 53, no. 52, 1997, pages 17769-17780, XP002201412 ELSEVIER SCIENCE PUBLISHERS, AMSTERDAM., NL ISSN: 0040-4020 * page 17775-7: compounds 3; page 17778-9: compounds 6; page 17779: the two compounds * --- -/-	1

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

**\* Special categories of cited documents:**

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

- \*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- \*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- \*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- \*G\* document member of the same patent family

Date of the actual completion of the international search

6 June 2002

Date of mailing of the international search report

03/07/2002

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
 NL - 2280 HV Rijswijk  
 Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
 Fax (+31-70) 340-3016

Authorized officer

Van Bijlen, H

## INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 01/24978

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	<p>CHEMICAL ABSTRACTS, vol. 126, no. 16, 21 April 1997 (1997-04-21) Columbus, Ohio, US; abstract no. 207064q, BERENDES, U. ET AL.: "Simultaneous determination of the phase II metabolites of the non steroidal anti-inflammatory drug etodolac in human urine." XP002201413 abstract -&amp; DATABASE CAPLUS 'Online! CHEMICAL ABSTRACTS SERVICE, COLUMBUS, OHIO, US; Database accession no. 1997:86440 XP002201416 compound with RN 187959-31-5, 134732-47-1 and -48-2, RN 79541-43-8, RN 101901-10-4 &amp; ENANTIOMER, vol. 1, no. 4-6, 1996, pages 415-422,</p>	1
X	<p>CHEMICAL ABSTRACTS, vol. 112, no. 13, 26 March 1990 (1990-03-26) Columbus, Ohio, US; abstract no. 111681y, VENUTI, MICHAEL C. ET AL.: "Synthesis and biological evaluation of omega-(N,N,N-trialkylammonium)alkyl esters and thioesters of carboxylic acid nonsteroidal antiinflammatory agents." XP002201414 abstract &amp; PHARM. RES., vol. 6, no. 10, 1989, pages 867-873, -&amp; DATABASE CAPLUS 'Online! CHEMICAL ABSTRACTS SERVICE, COLUMBUS, OHIO, US; Database accession no. 1990:111681 XP002201417 compound with RN 125421-61-6</p>	1
X	<p>EP 0 289 262 A (SYNTEX PHARMACEUTICALS INTERNATIONAL LTD.) 2 November 1988 (1988-11-02) page 13, line 8; claims</p>	1,6,49, 50

-/--

## INTERNATIONAL SEARCH REPORT

International Application No.

PCT/US 01/24978

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	CHEMICAL ABSTRACTS, vol. 101, no. 13, 24 September 1984 (1984-09-24) Columbus, Ohio, US; abstract no. 106777c, VAN BREEMEN, R.B. ET AL.: "Characterization of oxygen-linked glucuronides by laser desorption mass spectrometry" XP002201415 abstract & BIOMED. MASS SPECTROM., vol. 11, no. 6, 1984, pages 278-283, -& DATABASE CAPLUS 'Online! CHEMICAL ABSTRACTS SERVICE, COLUMBUS, OHIO, US; Database accession no. 1984:506777 XP002201418 compound with RN 91683-36-2	1
A	WO 98 09603 A (LOMA LINDA UNIVERSOTY MEDICAL CENTER) 12 March 1998 (1998-03-12) cited in the application claims 1,9,29	1,49,50
A	US 4 337 760 A (DAVID RUBIN) 6 July 1982 (1982-07-06) cited in the application claims	1,49,50

# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US 01/24978

## Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☒ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:  
  
Although claims 10-30 are directed to a method of treatment of the human/animal body, the search has been carried out and based on the alleged effects of the compound/composition.
2. ☐ Claims Nos.:  
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this International application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

## INTERNATIONAL SEARCH REPORT

International Application No.

PCT/US 01/24978

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
DE 2226340	A	15-03-1973	US 3843681 A	22-10-1974
			AR 198787 A1	24-07-1974
			AU 463079 B	17-07-1975
			AU 4255472 A	29-11-1973
			BE 784284 A1	01-12-1972
			CA 1006875 A1	15-03-1977
			CH 580101 A5	30-09-1976
			CS 178409 B2	15-09-1977
			DE 2226340 A1	15-03-1973
			DK 155328 B	28-03-1989
			ES 403343 A1	16-04-1975
			FI 54125 B	30-06-1978
			FR 2140154 A1	12-01-1973
			GB 1391005 A	16-04-1975
			HK 13078 A	17-03-1978
			HU 169432 B	28-11-1976
			IL 39466 A	22-05-1975
			JP 56038594 B	08-09-1981
			MY 23778 A	31-12-1978
			NL 7207408 A ,C	05-12-1972
			PH 10625 A	21-07-1977
			PH 11673 A	19-05-1978
			SE 387122 B	30-08-1976
			SU 463262 A3	05-03-1975
			US 3939178 A	17-02-1976
			US 3974179 A	10-08-1976
			ZA 7203344 A	19-12-1973
EP 289262	A	02-11-1988	AU 1508988 A	27-10-1988
			EP 0289262 A2	02-11-1988
			JP 1052742 A	28-02-1989
			ZA 8802957 A	27-12-1989
WO 9809603	A	12-03-1998	US 6160018 A	12-12-2000
			AU 4479897 A	26-03-1998
			US 5981592 A	09-11-1999
			WO 9809603 A2	12-03-1998
			AU 6682298 A	29-09-1998
			EP 0966277 A1	29-12-1999
			JP 2001514655 T	11-09-2001
			WO 9840061 A1	17-09-1998
US 4337760	A	06-07-1982	US 4584368 A	22-04-1986
			US 4351823 A	28-09-1982
			AU 534068 B2	05-01-1984
			AU 5112079 A	16-04-1981
			BE 879390 A1	01-02-1980
			CA 1148086 A1	14-06-1983
			CH 652724 A5	29-11-1985
			DE 2953223 C2	18-05-1989
			DE 2953223 T0	27-11-1980
			FR 2440374 A1	30-05-1980
			FR 2449284 A1	12-09-1980
			GB 2055044 A ,B	25-02-1981
			IL 58352 A	29-11-1985
			JP 55500837 T	23-10-1980
			NL 7920107 A	29-08-1980
			NL 7920107 T	29-08-1980

## INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 01/24978

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US 4337760	A	SE 461983 B	23-04-1990
		SE 8004369 A	12-06-1980
		US 4327074 A	27-04-1982
		WO 8000791 A1	01-05-1980
		US 4481195 A	06-11-1984
		ZA 7905282 A	26-11-1980

---

**This Page is Inserted by IFW Indexing and Scanning  
Operations and is not part of the Official Record**

**BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ BLACK BORDERS
- ☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
- ☐ FADED TEXT OR DRAWING
- ☐ BLURRED OR ILLEGIBLE TEXT OR DRAWING
- ☐ SKEWED/SLANTED IMAGES
- ☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS
- ☐ GRAY SCALE DOCUMENTS
- ☐ LINES OR MARKS ON ORIGINAL DOCUMENT
- ☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
- ☐ OTHER: \_\_\_\_\_

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.**